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INFORMATICA CORP

Form 3

August 13, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Race Charles

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/04/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

INFORMATICA CORP [INFA]

4. Relationship of Reporting

Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O INFORMATICA CORPORATION, Â 2100 SEAPORT BLVD

(Street)

(State)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) **EVP Worldwide Field Operations**

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

REDWOOD CITY, CAÂ 94063

(City) 1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (Instr. 5)

(I) (Instr. 5)

Common Stock

 $62,977 \stackrel{(1)}{=}$

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4 Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualified stock option (right to buy)	(2)	05/03/2017	Common Stock	782	\$ 25.46	D	Â
Non-qualified stock option (right to buy)	06/02/2011(3)	05/02/2018	Common Stock	2,500	\$ 54.93	D	Â
Non-qualified stock option (right to buy)	01/08/2012(3)	12/08/2018	Common Stock	18,000	\$ 43.8	D	Â
Non-qualified stock option (right to buy)	03/01/2012(3)	02/01/2019	Common Stock	20,000	\$ 44.06	D	Â
Non-qualified stock option (right to buy)	09/01/2012(3)	08/01/2019	Common Stock	6,667	\$ 29.28	D	Â
Non-qualified stock option (right to buy)	01/17/2013(3)	12/17/2019	Common Stock	37,500	\$ 30.33	D	Â
Non-qualified stock option (right to buy)	03/01/2013(3)	02/01/2020	Common Stock	30,000	\$ 37.43	D	Â
Non-qualified stock option (right to buy)	09/01/2014(3)	08/01/2021	Common Stock	100,000	\$ 31.36	D	Â
Performance-based restricted stock units (4)	02/01/2014(5)	(6)	Common Stock	10,000	\$ 0	D	Â
Performance-based restricted stock units (4)	02/01/2014(7)	(6)	Common Stock	15,000	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Race Charles C/O INFORMATICA CORPORATION 2100 SEAPORT BLVD REDWOOD CITY, CA 94063	Â	Â	EVP Worldwide Field Operations	Â		

Signatures

/s/ Edwin White, Attorney-in-fact for Charles Race 08/13/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Certain of these securities are restricted stock units. Each unit represents the Reporting Person's right to receive one share of Common Stock, subject to the applicable vesting schedule.

Reporting Owners 2

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- (2) All options subject to this grant are fully vested and exercisable.
- (3) These options vest at the rate of 1/48th of the shares subject to such options per month with the first month vesting as of the date listed.
- (4) These securities are performance-based restricted stock units. Each unit represents the Reporting Person's right to receive one share of common stock upon achievement of certain performance criteria.
 - This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on license bookings. The actual PRSU award earned will vest as to 1/2 of the shares on each anniversary of the original vesting date. The
- (5) number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold of performance, the actual number of shares earned may range from 50% to 150% of the 100% award amount.
- **(6)** Expiration is not applicable for performance-based restricted stock.
 - This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on quarterly license bookings. The actual PRSU award earned will vest as to 1/2 of the shares on each anniversary of the original vesting
- (7) date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold fo performance. At or above the minimum threshold of performance, the actual number of shares earned may range from 50% to 133% of the 100% award amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.