DZAU VICTOR J

Form 4 October 03, 2012

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287 Number:

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

Estimated average burden hours per

5 Relationship of Reporting Person(s) to

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2 Januar Nama and Tielzer or Tradina

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

DZAU VI	2. Issuer Name and Ticker or Trading Symbol PEPSICO INC [PEP]					S. Relationship of Reporting Person(s) to Issuer						
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(Check all applicable)				
106 DAVI HOSPITA	(Month/Day/Year) 10/01/2012				_	X_ Director 10% Owner Officer (give title below) below)						
	4. If Amendment, Date Original				6.	6. Individual or Joint/Group Filing(Check						
DURHAM					_X	Applicable Line)  X_ Form filed by One Reporting Person  Form filed by More than One Reporting						
(City)	(State)						Person					
Table 1 - Non-Derivative Securities Acquired, Disposed of, of Den												
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5)				Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	7 Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
PepsiCo, Inc. Common Stock	09/28/2012			A	674.813 <u>(1)</u>	A	(1)	26,324.2339	D			
PepsiCo, Inc. Common Stock	10/01/2012			A	943.6235 (2)	A	\$ 70.65	27,267.8574	D			
PepsiCo, Inc. Common Stock	10/01/2012			A	2,123.1423 (3)	3 A	\$ 0	29,390.9997 (4)	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amount of		Derivative	J
	Security	or Exercise		any	Code	of	(Month/Day/Year)		Underlying Securities		Security	,
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative					(Instr. 5)	]
		Derivative				Securities		(Instr. 3 and 4)			(	
		Security				Acquired	Acquired					J
						(A) or						J
						Disposed						7
						of (D)						(
						(Instr. 3,						
						4, and 5)						
										Amount		
										or		
						Date	Expiration		Number			
							Exercisable	ole Date		of		
					Code V	(A) (D)				Shares		
					Code V	(II)				Dilaics		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DZAU VICTOR J 106 DAVISON BUILDING DUKE HOSPITAL SOUTH, BOX 3701 DURHAM, NC 27720

X

## **Signatures**

/s/ Cynthia A. Nastanski, Atty-in-Fact

10/03/2012

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This number includes the phantom stock units acquired on various dates between October 1, 2011 and September 28, 2012 through (1) reinvestment of dividend equivalents pursuant to the PepsiCo Director Deferral Program, at prices ranging from \$66.35 to \$70.77, payable in shares of PepsiCo Common Stock on a one-for-one basis.
- (2) This number represents the regular cash payment, or portion thereof, that the filing person elected to defer under the PepsiCo Director Deferral Program that is payable in shares of PepsiCo Common Stock at the end of the deferral period selected by the filing person.
- This number represents the filing person's phantom stock units received for service as a director that are payable in shares of PepsiCo (3) Common Stock on a one-for-one basis commencing on the first day of the calendar quarter following the first anniversary of the filing person's retirement or resignation from PepsiCo's Board of Directors.

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(4) This number includes 1,525 shares of PepsiCo Common Stock that the reporting person received on January 1, 2012 in settlement of 1,525.690215 shares of phantom stock held pursuant to the PepsiCo Director Deferral Program.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.