

Themelis Nicholas  
Form 4  
November 08, 2011

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Themelis Nicholas

2. Issuer Name and Ticker or Trading Symbol  
MARKETAXESS HOLDINGS INC  
[MKTX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

Chief Information Officer

C/O MARKETAXESS HOLDINGS INC., 299 PARK AVENUE

11/04/2011

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

NEW YORK, NY 10171

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock, par value \$0.003 per share	11/04/2011		M	36,354	A \$ 11.18	136,701	D
Common Stock, par value \$0.003 per share	11/04/2011		M	40,515	A \$ 12.96	177,216	D
	11/04/2011		S <sup>(1)</sup>	71,908	D	105,308	D

Edgar Filing: Themelis Nicholas - Form 4

Common Stock, par value \$0.003 per share						\$ 28.54 <u>(2)</u>		
Common Stock, par value \$0.003 per share	11/04/2011	S <sup>(1)</sup>	4,961	D	\$ 29.4 <u>(3)</u>	100,347	D	
Common Stock, par value \$0.003 per share	11/07/2011	M	5,605	A	\$ 12.96	105,952	D	
Common Stock, par value \$0.003 per share	11/07/2011	S <sup>(1)</sup>	5,605	D	\$ 28.78 <u>(4)</u>	100,347	D	
Common Stock, par value \$0.003 per share	11/07/2011	S	15,596	D	\$ 28.26 <u>(5)</u>	84,751	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock	\$ 11.18	11/04/2011		M	36,354	<u>(6)</u>	01/09/2016	Common Stock	36,354



## Edgar Filing: Themelis Nicholas - Form 4

The option for 66,120 shares was granted on January 12, 2007. One third of the shares of common stock underlying the option vested and (7) became exercisable on the first anniversary of the date of grant and the remaining shares of common stock underlying the option vested and became exercisable in twenty four equal monthly installments commencing on the thirteenth month from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.