Bramnick Michael R.
Form 4
January 06, 2010

FORM 4 Form Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

## Check this box if no longer subject to Section 16. <br> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 SECURITIES

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PRINCETON, NJ 08540
5. Relationship of Reporting Person(s) to Applicable Line)
_X_Form filed by One Reporting Person __ Form filed by More than One Reporting Person

Issuer
(Check all applicable)


Sr. VP \& General Counsel
6. Individual or Joint/Group Filing(Check

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. <br> Transactio <br> Code <br> (Instr. 8) <br> Code V | 4. Securities <br> Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) <br> (A) or <br> Amount <br> (D) | 5. Amount of Securities <br> Beneficially <br> Owned <br> Following <br> Reported <br> Transaction(s) <br> (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

Common
Stock, par
01/04/2010
$\mathrm{A} \quad \underset{(1)}{4,600} \quad \mathrm{~A} \quad \underline{(2)} \quad 8,662$
D
value . 01
per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. <br> Transactio <br> Code <br> (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) <br> (Instr. 3, 4, and 5) | 6. Date Exercisab Date <br> (Month/Day/Yea | e and Expiration | 7. Title and Underlying (Instr. 3 anc |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Code V | (A) (D) | Date Exercisable | Expiration Date | Title |
| Non-Qualified Stock Options | \$ 23.87 | 01/04/2010 |  | A | 10,200 | 01/04/2011 ${ }^{(3)}$ | 01/04/2020⑷ | Common Stock, pa value $\$ .01$ per share |
| Performance Units | (5) | 01/04/2010 |  | A | 4,700 | 01/04/2013 ² $^{\text {( }}$ | 01/04/2013 | Common Stock, pa value $\$ .01$ per share |

## Reporting Owners

## Reporting Owner Name / Address

## Relationships

Director $10 \%$ Owner Officer Other
Bramnick Michael R.
NRG ENERGY, INC.
211 CARNEGIE CENTER
PRINCETON, NJ 08540
Sr. VP \& General Counsel

## Signatures

/s/ Michael
Bramnick
${ }_{-}^{* *}$ Signature of
01/06/2010

Reporting Person

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
(1) Represents Restricted Stock Units issued to Mr. Bramnick by NRG Energy, Inc. under NRG Energy, Inc.'s Long Term Incentive Plan.
(2) Each Restricted Stock Unit is equivalent in value to one share of NRG Energy, Inc.'s Common Stock, par value $\$ 0.01$. Mr. Bramnick will receive from NRG Energy, Inc. one such share of Common Stock for each Restricted Stock Unit on January 4, 2013.
(3) Pursuant to the Grant Agreement by and between NRG Energy, Inc. and Mr. Bramnick, the Stock Options will vest and become exercisable as follows: $331 / 3 \%$ on January 4,$2011 ; 331 / 3 \%$ on January 4, 2012; and, $331 / 3 \%$ on January 4, 2013.


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(4) Stock Options expire ten years from the date of grant.

Mr. Bramnick was issued 4,700 Performance Units by NRG Energy, Inc. under NRG Energy, Inc.'s Long Term Incentive Plan on January 4, 2010. Each Performance Unit will be paid out on January 4, 2013 if the average of the closing price of NRG's Common Stock on January 4, 2013 and the nineteen preceding tracking days (the "Measurement Price") is equal to or greater than $\$ 31.97$ (the "Threshold
(5) Price"). The payout for each PU will be equal to a pro-rated amount in between one-half and one share of common stock if the

Measurement Price equals or exceeds the Threshold Price but less than $\$ 34.69$ (the "Target Price"). The payout for each PU will be equal to a pro-rated amount in between one and two shares of common stock if the Measurement Price is equal to the Target Price but less than $\$ 40.57$ (the "Maximum Price"). The payout for each PU will be equal to two shares of common stock if the Measurement Price is equal to or greater than the Maximum Price.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

