Schoch Alexander C Form 4 January 06, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Schoch Alexander C

2. Issuer Name and Ticker or Trading Symbol

PEABODY ENERGY CORP [BTU]

5. Relationship of Reporting Person(s) to

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Last)

701 MARKET STREET

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

01/04/2010

Director 10% Owner

(Check all applicable)

_X__ Officer (give title _ Other (specify below)

Exec VP & CLO

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

ST. LOUIS, MO 63101

| (City) | (State) | (Zip) Tah | ole I - Non- | Derivative | Secu | rities Acquii | ed, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|---|---|--|--|--------|---------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit omr Dispos (Instr. 3, | sed of | ` ′ | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 01/06/2010(1) | | M | 8,614 | A | \$ 39.1584 | 10,070 (2) | D | |
| Common Stock | 01/06/2010(1) | | M | 12,665 | A | \$ 34.9553 | 22,735 (2) | D | |
| Common Stock | 01/06/2010(1) | | M | 7,311 | A | \$ 26.84 | 30,046 (2) | D | |
| Common Stock | 01/06/2010(1) | | S | 28,500 | D | \$ 50 | 1,546 <u>(2)</u> | D | |
| Common Stock | 01/06/2010(1) | | S | 90 | D | \$ 50.02 | 1,456 (2) | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Dis (D) (Instr. 3, 4 | Acquired posed of | of | | 7. Title and Amo Underlying Secur (Instr. 3 and 4) | |
|---|---|---|---|---|--|-------------------|---------------------|-----------------|--|------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Am or Nu of S |
| Employee Stock Option (right to buy) | \$ 47.87 | 01/04/2010 | | A | 11,097 | | <u>(3)</u> | 01/04/2020 | Common Stock | 11 |
| Employee Stock Option (right to buy) | \$ 39.1584 | 01/06/2010(1) | | M | | 8,614 | <u>(5)</u> | 10/16/2016 | Common Stock | 8. |
| Employee Stock Option (right to buy) | \$ 34.9553 | 01/06/2010(1) | | M | | 12,665 | <u>(6)</u> | 01/03/2017 | Common Stock | 12 |
| Employee Stock Option (right to buy) | \$ 26.84 | 01/06/2010(1) | | M | | 7,311 | 01/05/2010 | 01/05/2019 | Common Stock | 7, |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--------------------------------|---------------|-----------|---------------|-------|--|--|--|--|
| .r. g | Director | 10% Owner | Officer | Other | | | | |
| Schoch Alexander C | | | | | | | | |
| 701 MARKET STREET | | | Exec VP & CLO | | | | | |
| ST. LOUIS, MO 63101 | | | | | | | | |

Reporting Owners 2

Signatures

Alexander C. Schoch By: Kenneth L. Wagner Attorney-in-fact

01/06/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This exercise/sale was effected pursuant to a pre-existing Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Includes 1,456 shares held by the reporting person in the Company's Employee Stock Purchase Plan.
- (3) The options vest in three equal annual installments beginning January 5, 2011.
- (4) Does not include employee stock options with different expiration dates and exercise prices.
- (5) 4,307 options vested on January 3, 2009 and 4,307 options vested on January 3, 2010.
- (6) 6,332 options vested on January 3, 2009 and 6,333 options vested on January 3, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3