

TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD

Form 6-K

December 09, 2016

1934 Act Registration No. 1-14700

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, DC 20549**

**FORM 6-K**

**REPORT OF FOREIGN PRIVATE ISSUER**

**PURSUANT TO RULE 13a-16 OR 15d-16 OF**

**THE SECURITIES EXCHANGE ACT OF 1934**

**For the month of December 2016**

**Taiwan Semiconductor Manufacturing Company Ltd.**

**(Translation of Registrant's Name Into English)**

**No. 8, Li-Hsin Rd. 6,**

**Hsinchu Science Park,**

**Taiwan**

**(Address of Principal Executive Offices)**

(Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.)

Form 20-F       Form 40-F

(Indicate by check mark whether the registrant by furnishing the information contained in this form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.)

Yes       No

(If  Yes is marked, indicated below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82: .)

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Taiwan Semiconductor Manufacturing Company Ltd.

Date: December 9, 2016

By /s/ Lora Ho  
Lora Ho  
Senior Vice President & Chief Financial Officer

### TSMC November 2016 Revenue Report

**Hsinchu, Taiwan, R.O.C. Dec. 9, 2016** - TSMC (TWSE: 2330, NYSE: TSM) today announced its net revenues for November 2016: On a consolidated basis, revenues for November 2016 were approximately NT\$93.03 billion, an increase of 2.1 percent from October 2016 and an increase of 46.7 percent from November 2015. Revenues for January through November 2016 totaled NT\$869.83 billion, an increase of 10.8 percent compared to the same period in 2015.

#### TSMC November Revenue Report (Consolidated):

| Period       | (Unit:NT\$ million) |                 |                 |                   |                 |                             |                             |                 |
|--------------|---------------------|-----------------|-----------------|-------------------|-----------------|-----------------------------|-----------------------------|-----------------|
|              | M-o-M<br>Increase   |                 |                 | Y-o-Y<br>Increase |                 | Y-o-Y<br>Increase           |                             |                 |
|              | November<br>2016    | October<br>2016 | (Decrease)<br>% | November<br>2015  | (Decrease)<br>% | January to<br>November 2016 | January to<br>November 2015 | (Decrease)<br>% |
| Net Revenues | 93,030              | 91,085          | 2.1             | 63,428            | 46.7            | 869,826                     | 785,150                     | 10.8            |

#### TSMC Spokesperson:

Lora Ho

Senior VP &amp; CFO

Tel: 886-3-505-4602

#### TSMC Acting Spokesperson:

Elizabeth Sun

Senior Director

Corporate Communication  
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#### For Further Information:

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## Taiwan Semiconductor Manufacturing Company Limited

This is to report the changes or status of 1) sales volume, 2) funds lent to other parties, 3) endorsements and guarantees, and 4) financial derivative transactions for the period of November 2016.

## 1. Sales volume (in NT\$ thousands)

| <b>Period</b> | <b>Items</b> | <b>2016</b> | <b>2015</b> |
|---------------|--------------|-------------|-------------|
| Nov.          | Net sales    | 93,029,912  | 63,428,163  |
| Jan. - Nov.   | Net sales    | 869,826,322 | 785,150,363 |

## 2. Funds lent to other parties (in NT\$ thousands)

| <b>Lending Company</b> | <b>Limit of lending</b> | <b>Amount Drawn<br/>Bal. as of period end</b> |
|------------------------|-------------------------|---|
| TSMC China*            | 40,671,621              | 4,168,710                                     |

\* The borrower is TSMC Nanjing, a wholly-owned subsidiary of TSMC.

## 3. Endorsements and guarantees (in NT\$ thousands):

| <b>Guarantor</b> | <b>Limit of guarantee</b> | <b>Amount<br/>Bal. as of period end</b> |
|------------------|---------------------------|---|
| TSMC*            | 320,778,259               | 39,339,504                              |

\* The guarantees were provided to TSMC Global and TSMC North America, which are both wholly-owned subsidiaries of TSMC.

4. Financial derivative transactions (in NT\$ thousands)  
For assets / liabilities denominated in foreign currencies.

TSMC

| <b>Forward</b> | <b>Swap</b> | <b>Hybrid instruments<br/>entirely measured at<br/>fair-value-through-</b> |
|----------------|-------------|--|
|----------------|-------------|--|

|                                   |                            |             |             | <b>profit-or-loss<br/>under<br/>designation</b> |
|-----------------------------------|----------------------------|-------------|-------------|---|
| Margin Payment                    |                            |             |             |   |
| Premium Income (Expense)          |                            |             |             |   |
| Outstanding Contracts             | Notional Amount            | 32,306,290  | 19,113,000  |   |
|                                   | Mark to Market Profit/Loss | (950,940)   | (24,692)    |   |
|                                   | Unrealized Profit/Loss     | (911,712)   | (24,692)    |   |
| Expired Contracts                 | Notional Amount            | 356,711,077 | 268,481,878 | 17,855,900                                      |
|                                   | Realized Profit/Loss       | 1,700,688   | 350,245     | (76,691)  |
| Equity price linked product (Y/N) |                            | N           | N           | N   |

**TSMC China**

|                                   |                            | <b>Forward</b> |
|-----------------------------------|----------------------------|----------------|
| Margin Payment                    |                            |                |
| Premium Income (Expense)          |                            |                |
| Outstanding Contracts             | Notional Amount            | 7,678,364      |
|                                   | Mark to Market Profit/Loss | (45,848)       |
|                                   | Unrealized Profit/Loss     | (23,571)       |
| Expired Contracts                 | Notional Amount            | 67,689,324     |
|                                   | Realized Profit/Loss       | (350,829)      |
| Equity price linked product (Y/N) |                            | N              |

**VisEra Tech**

|                                   |                            | <b>Forward</b> |
|-----------------------------------|----------------------------|----------------|
| Margin Payment                    |                            |                |
| Premium Income (Expense)          |                            |                |
| Outstanding Contracts             | Notional Amount            | 570,596        |
|                                   | Mark to Market Profit/Loss | (3,264)        |
|                                   | Unrealized Profit/Loss     | (1,617)        |
| Expired Contracts                 | Notional Amount            | 3,803,984      |
|                                   | Realized Profit/Loss       | 17,373         |
| Equity price linked product (Y/N) |                            | N              |

**TSMC Nanjing**

|                                   |                            | <b>Forward</b> | <b>Hybrid instruments<br/>entirely measured at<br/>fair-value-through-<br/>profit-or-loss<br/>under<br/>designation</b> |
|-----------------------------------|----------------------------|----------------|---|
| Margin Payment                    |                            |                |   |
| Premium Income (Expense)          |                            |                |   |
| Outstanding Contracts             | Notional Amount            | 47,934         | 1,480,220   |
|                                   | Mark to Market Profit/Loss | 87             | 19,837  |
|                                   | Unrealized Profit/Loss     | 92             | 20,929  |
| Expired Contracts                 | Notional Amount            | 50,728         | 1,199,535   |
|                                   | Realized Profit/Loss       | (1,091)        | 3,937   |
| Equity price linked product (Y/N) |                            | N              | N   |

**TSMC Global**

|                                   |                            |  | <b>Future</b> |
|-----------------------------------|----------------------------|--|---------------|
| Margin Payment                    |                            |  | (20,143)      |
| Premium Income (Expense)          |                            |  |               |
| Outstanding Contracts             | Notional Amount            |  | 1,256,860     |
|                                   | Mark to Market Profit/Loss |  | 1,524,949     |
|                                   | Unrealized Profit/Loss     |  | 10            |
| Expired Contracts                 | Notional Amount            |  | 2,073,500     |
|                                   | Realized Profit/Loss       |  | 7,509         |
| Equity price linked product (Y/N) |                            |  | N             |