

MARKETAXESS HOLDINGS INC  
Form 8-K  
November 05, 2013

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**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): November 1, 2013**

**MarketAxess Holdings Inc.**

**(Exact name of registrant as specified in its charter)**

**Delaware**  
**(State or other jurisdiction**  
  
**of incorporation)**

**001-34091**  
**(Commission**  
  
**File Number)**  
**299 Park Avenue**

**52-2230784**  
**(IRS Employer**  
  
**Identification No.)**

**New York, New York 10171**

**(Address of principal executive offices) (Zip Code)**

**Registrant's telephone number, including area code (212) 813-6000**

**(Former name or former address, if changed since last report.)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ..  Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ..  Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ..  Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ..  Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On November 1, 2013, the Board of Directors of MarketAxess Holdings Inc. (the Company ) elected William Cruger to serve on the Company s Board of Directors, effective immediately.

There were no arrangements or understandings pursuant to which Mr. Cruger was elected as a director of the Company. Mr. Cruger does not have a family relationship with any director or executive officer of the Company. There were no transactions since the beginning of the Company s last fiscal year, or any currently proposed transaction, to which the Company or any of its subsidiaries was or is to be a party, in which the amount involved exceeds \$120,000 and in which Mr. Cruger had, or will have, a direct or indirect material interest.

On November 5, 2013, the Company issued a press release announcing Mr. Cruger s election, which is attached hereto as exhibit 99.1 and is incorporated by reference into this Item 5.02.

**Item 9.01 Financial Statements and Exhibits**

(d) Exhibits:

99.1 Press Release entitled MarketAxess Appoints William Cruger to Board of Directors issued by MarketAxess Holdings Inc. on November 5, 2013.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MARKETAXESS HOLDINGS INC.

Date: November 5, 2013

By: /s/ Richard M. McVey  
Name: Richard M. McVey  
Title: Chief Executive Officer

**EXHIBIT INDEX**

Exhibit

99.1 Press Release entitled MarketAxess Appoints William Cruger to Board of Directors issued by MarketAxess Holdings Inc. on November 5, 2013.