

AVERITT RICHARD G
Form 4
December 10, 2002

FORM 4

Check this box if no longer
subject to Section 16. Form 4
or Form 5 obligations may
continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of
the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment
Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting
Person(s) to Issuer
(Check all applicable)

Averitt Richard G.

Raymond James Financial (RJF)

Director

10%
Owner

(Last) (First) (Middle)

3. IRS or Social Security

4. Statement for

☒ Officer
(give
title
below)

Other
(specify
below)

880 Carillon Parkway
(Street)

Number of Reporting
Person (Voluntary)

Month/Day/Year
December 10, 2002

CEO RJFS

St.
Petersburg FL 33716
(City) (State) (ZIP)

5. If Amendment
Date of Original

(Month/Day/Year)

7. Individual or Joint/Group Filing
(Check Applicable Line)

☒ Form filed by One Reporting Person

Form filed by More than One Reporting
Person

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

1. Title of
Security
(Instr. 3)

2. Trans-
action
Date
(Month/
Day/
Year)

2A. Deemed
Execution
Date, if any
(Month/Day/Year (Instr. 8))

3. Trans-
action
Code

4. Securities Acquired
(A)
or Disposed of (D)
(Instr. 3, 4 and 5)

5. Amount of
Securities
Beneficially
Owned at
Following
Reported

6. Owner-
ship
Form:
Direct
(D) or
Indirect

(A)
or

Transactions (I)

Raymond James Financial, Inc.
(RJF)

RJF Common Stock
RJF Common Stock

12/6/2002

Code V
A V

Amount (D)
1,427 A

Price (Instr. 3 and 4)(Instr. 4)
\$ - 83,538D
15,920I

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)
SEC
1474
(7-96)

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM

4 Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	3.A Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned at End of Derivative Month (Instr. 4)	10. Ownership Form of Security: Direct (D) or Indirect (I) (Instr. 4)
Employee Stock Option (Right to buy)							Common stock	6,000	22.1667	
Employee Stock Option (Right to buy)							Common stock	2,400	20.6250	
Employee Stock Option (Right to buy)							Common stock	800	20.6250	

Employee
Stock
Option
(Right
to
buy)
Employee
Stock
Option
(Right
to
buy)
Employee
Stock
Option
(Right
to
buy)

Current 1/18/2003 Common stock 6,000 22.1667
Current 1/18/2005 Common stock 2,400 20.6250
11/18/2003 1/18/2005 Common stock 800 20.6250

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Employee Stock Option (Right to buy)	11/18/2004	1/18/2005	Common stock	800	20.6250		
Employee Stock Option (Right to buy)	11/28/2004	1/28/2007	Common stock	2,609	32.0000		
Employee Stock Option (Right to buy)	11/28/2005	1/28/2007	Common stock	2,191	32.0000		
Employee Stock Option (Right to buy)	11/28/2006	1/28/2007	Common stock	1,200	32.0000	16,000	D

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations,
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ DICK AVERITT
**Signature of Reporting Person

12/10/2002
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient.
see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.