#### Edgar Filing: Approach Resources Inc - Form 4

Approach Resources Inc Form 4 November 01, 2013

if no longer

Section 16.

Form 4 or

Form 5

1(b).

subject to

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

11/01/2013

value \$0.01

per share

1. Name and Address of Reporting Person <u>*</u> Smart Steven P.			Person <sup>*</sup> 2. Issue Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer				
		Approa	Approach Resources Inc [AREX]			(Check all applicable)					
	(Last)	(First) (	Middle) 3. Date o	f Earliest Ti	ransaction						
	ONE RIDGI	MAD CENTRE	· • • • •	Day/Year)				Director X_ Officer (give		Owner or (specify	
		DNE RIDGMAR CENTRE, 6500 1 V. FREEWAY, SUITE 800			11/01/2013			below)	below) EVP & CFO		
(Street)			4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
	FORT WOR	RTH, TX 76116	Filed(Mo	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
	(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Securi	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
	1.Title of Security (Instr. 3) Common	2. Transaction Date (Month/Day/Year)		3. Transactic Code (Instr. 8) Code V	4. Securi on(A) or D (Instr. 3, Amount	isposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Stock, par	11/01/2013		S	<b>850</b> (1)	D	\$	332 074	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

850 (1) D

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

332,074

28.06

D

3235-0287

January 31,

2005

0.5

Expires:

response...

Estimated average

burden hours per

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Addr	ess	Relationships							
I B	Director	10% Owner	Officer	Other					
Smart Steven P. ONE RIDGMAR CENTRE 6500 W. FREEWAY, SUITE FORT WORTH, TX 76116	800		EVP & CFO						
Signatures									
/s/ Steven P. 1 Smart	1/01/2013								

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan and the reporting person did not select the (1)date of execution.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.