AMBARELLA INC

Form 4 June 27, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

burden hours per

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Pacven Walden Management V Co.			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
Ltd.			AMBARELLA INC [AMBA]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	••		
			(Month/Day/Year)	Director 10% Owner		
ONE CALIFORNIA			06/24/2013	Officer (give titleX_ Other (specif		
STREET, SUITE 2800				below) below) Former 10% holder		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) Form filed by One Reporting Person		
SAN FRANCISCO, CA 94111				_X_ Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secur	ities Ac	quired, Disposed	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie on(A) or Disp (Instr. 3, 4	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares	06/24/2013		J <u>(2)</u>	705,010	D	\$0	2,115,031	I	By Pacven Walden Ventures V, L.P. (1)
Ordinary Shares	06/24/2013		J <u>(3)</u>	2,446	D	\$0	7,338	I	By Pacven Walden Ventures V Associates Fund, L.P. (1)
Ordinary Shares	06/24/2013		J <u>(4)</u>	16,221	D	\$0	48,664	I	By Pacven Walden Ventures

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								Parallel V-A C.V. (1)
Ordinary Shares	06/24/2013	J <u>(5)</u>	16,221	D	\$0	48,664	I	By Pacven Walden Ventures Parallel V-B C.V. (1)
Ordinary Shares	06/24/2013	<u>J(6)</u>	13,287	D	\$ 0	39,861	I	By Pacven Walden Ventures V-QP Associates Fund, L.P. (1)
Ordinary Shares	06/24/2013	J <u>(7)</u>	15,686	A	\$0	15,686	D	
Ordinary Shares	06/25/2013	J <u>(8)</u>	14,905	D	\$0	781	D	
Ordinary Shares	06/25/2013	J <u>(8)</u>	11,253	A	\$0	11,253	I	By Pacven Walden Management Co. Ltd.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amount of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivativ	e		Securities	(Instr. 5)
	Derivative				Securities	S		(Instr. 3 and 4)	
	Security				Acquired				
					(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
								Amount	
						Date	Expiration	or	
						Exercisable	Date	Title Number	
						2.1010184010	2	of	
				Code \	V (A) (D)			Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Pacven Walden Management V Co. Ltd. ONE CALIFORNIA STREET SUITE 2800 SAN FRANCISCO, CA 94111				Former 10% holder		
PACVEN WALDEN VENTURES V LP ONE CALIFORNIA STREET SUITE 2800 SAN FRANCISCO, CA 94111				Former 10% holder		
Pacven Walden Ventures Parallel V-A C.V. ONE CALIFORNIA STREET SUITE 2800 SAN FRANCISCO, CA 94111				Former 10% holder		
Pacven Walden Ventures Parallel V-B C.V. ONE CALIFORNIA STREET SUITE 2800 SAN FRANCISCO, CA 94111				Former 10% holder		
PACVEN WALDEN VENTURES V ASSOCIATES FUND LP ONE CALIFORNIA STREET SUITE 2800 SAN FRANCISCO, CA 94111				Former 10% holder		
PACVEN WALDEN VENTURES V QP ASSOCIATES FUND						
LP ONE CALIFORNIA STREET SUITE 2800 SAN FRANCISCO, CA 94111				Former 10% holder		
Signatures						
/s/ Lip-Bu Tan, as director of Pacven Walden Management V Co. Pacven Walden Management Co. Ltd	Ltd. and a	s director of		06/27/2013		
**Signature of Reporting Person				Date		
/s/ Lip-Bu Tan, as director of Pacven Walden Management V Co. Pacven Walden Ventures V, L.P.	Ltd., the g	general partn	er of	06/27/2013		
**Signature of Reporting Person				Date		
/s/ Lip-Bu Tan, as director of Pacven Walden Management V Co. Pacven Walden Ventures Parallel V-A C.V.	Ltd., the g	general partn	er of	06/27/2013		
**Signature of Reporting Person				Date		
/s/ Lip-Bu Tan, as director of Pacven Walden Management V Co. Pacven Walden Ventures Parallel V-B C.V.	Ltd., the g	general partn	er of	06/27/2013		
**Signature of Reporting Person				Date 06/27/2013		

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/s/ Lip-Bu Tan, as director of Pacven Walden Management V Co. Ltd., the general partner of Pacven Walden Ventures V Associates Fund, L.P.

	**Signature of Reporting Person	Date			
/s/ Lip-Bu Tan, as director of Pacven Walden Management V Co. Ltd., the general partner of Pacven Walden Ventures V-QP Associates Fund, L.P.					
	**Signature of Reporting Person	Date			
/s/ Lip-Bu Tan		06/27/2013			
	**Signature of Reporting Person	Date			
/s/ Andrew Kau		06/27/2013			
	**Signature of Reporting Person	Date			
/s/ Hock Voon Loo		06/27/2013			
	**Signature of Reporting Person	Date			
/s/ Brian Chiang		06/27/2013			
	**Signature of Reporting Person	Date			

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Pacven Walden Management V Co. Ltd. ("Pacven V Mgmnt"), the Designated Filer, is the general partner of Pacven Walden Ventures V, L.P. ("Pacven V Parallel A"), Pacven Walden Ventures Parallel V-A, C.V. ("Pacven V Parallel A"), Pacven Walden Ventures Parallel Fund V-B, C.V. ("Pacven V Parallel B"), Pacven Walden Ventures V Associates Fund, L.P. ("Pacven V A") and Pacven Walden
- (1) Ventures V-QP Associates Fund, L.P. ("Pacven V-QP"). Lip-Bu Tan is the sole director of Pacven V Mgmnt and shares voting and investment power with respect to the shares held by Pacven Ventures V, Pacven V Parallel A, Pacven V Parallel B, Pacven V A and Pacven V-QP with the other members of the Investment Committee of Pacven V Mgmnt. The members of such Investment Committee are Lip-Bu Tan, Andrew Kau, Hock Voon Loo and Brian Chiang. Each member disclaims beneficial ownership of the shares except the extent of his or her pecuniary interest.
- (2) Represents a pro-rata, in-kind distribution by Pacven Walden Ventures V, L.P., without consideration, to its partners.
- (3) Represents a pro-rata, in-kind distribution by Pacven Walden Ventures V Associates Fund, L.P., without consideration, to its partners.
- (4) Represents a pro-rata, in-kind distribution by Pacven Walden Ventures Parallel V-A C.V., without consideration, to its partners.
- (5) Represents a pro-rata, in-kind distribution by Pacven Walden Ventures Parallel V-B C.V., without consideration, to its partners.
- (6) Represents a pro-rata, in-kind distribution by Pacven Walden Ventures V-QP Associates Fund, L.P., without consideration, to its partners.
  - Represents a pro-rata, in-kind distribution by Pacven Walden Ventures V, L.P., Pacven Walden Ventures V Associates Fund, L.P.,
- (7) Pacven Walden Ventures Parallel V-A C.V., Pacven Walden Ventures Parallel V-B C.V. and Pacven Walden Ventures V-QP Associates Fund, L.P., without consideration, to its partners.
- (8) Represents a pro-rata, in-kind distribution by Pacven Walden Management V Co. Ltd., without consideration, to its shareholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4