E TRADE GROUP INC

Form 4/A

January 30, 2003

SEC Form 4

(City)

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. 0.5

- 1. Name and Address of Reporting Person* Caplan, Mitchell (Last) (First) (Middle) c/o E*Trade Group, Inc, 4500 Bohannon Drive (Street) Menlo Park, CA 94025

(State)

(Zip)

- 2. Issuer Name and Ticker or Trading Symbol
- E*Trade Group, Inc
- 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)
- 4. Statement for (Month/Day/Year
- 01/29/2003
- 5. If Amendment, Date of Original (Month/Day/Year)
- 01/30/2003

6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X Director _ 10% Owner X Officer (give title below) _ Other (specify below)

Chief Executive Description Officer and President

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting Person Form filed by More than One Reporting Person

ı	1	Γable I - Non-Deriva	tive Se	curi	ties Acqui	red, D	isposed o	f, or Beneficially	Owned	
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Cod (Inst 8)	е	4. Securit n(A) or Dis (Instr. (posed	Of (D)	5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr.	(Instr. 4)
Common Stock	01/29/2003	01/29/2003	Р		100,000	Α	\$4.5718	430,133	D	
								397,842	ı	By Caplan Associates

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

			(€	e.g., puts, ca	alis, wai	rrants, opt	ions, convertible	securities)			
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date	7. Title and	8. Price	9. Number of	10.	11. Na
Derivative	sion or	Transaction	Deemed	Transactio	nNumbe	rExercisab	e (√ubnE o)untof	of	Derivative	Owner-	In
Security	Exercise	Date	Execution	Code	of	and	Underlying	Derivative	Securities	ship	Be
(Instr. 3)	Price of		Date, if			ive Expirati	o 8 ecurities	Security	Beneficially	Form of	O
	Deri-	(Month/	any	(Instr.8)	Secu	ırDiætse(ED)	(Instr. 3 and	(Instr.5)	Owned	Deriv-	(Ir
			-								

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	vative Security	Day/ Year)	(Month/ Day/ Year)			(A) Ois Of (D)	pos Inst	ed	lonth/	Dtajy/Ye	ear)	Following Reported Transaction(s) (Instr.4)	ative Securities: Direct (D) or Indirect (I) (Instr.4)	
				Code	>	Α	D	DE	ED	Title	Amount or Number of Shares			

Explanation of Responses:

By:	Date:
/s/ Mitchell Caplan, by Russell S. Elmer, his attorney in fact	January 30, 2003

** Signature of Reporting Person

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).