Esperion Therapeutics, Inc. Form 4

August 21, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* McGowan Mary

(First) (Middle) (Last)

C/O ESPERION THERAPEUTICS INC., 3891 RANCHERO DRIVE. **SUITE 150** 

(Street)

(State)

08/19/2015

(Zip)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

ANN ARBOR, MI 48108

(City)

1. Title of

Security

(Instr. 3)

Common

Stock

2. Issuer Name and Ticker or Trading

Symbol

Esperion Therapeutics, Inc. [ESPR]

3. Date of Earliest Transaction (Month/Day/Year)

08/19/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities Acquired 5. Amount of 3. Transaction(A) or Disposed of Code (D)

(Instr. 3, 4 and 5) (Instr. 8)

(A)

or Code V (D) Price Amount

15,000 A

A (1)

Owned Following Reported Transaction(s)

Securities

Beneficially

Issuer

below)

Director

Applicable Line)

X\_ Officer (give title

(Instr. 3 and 4)

\$0

15,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Expires: 2005 Estimated average

3235-0287

January 31,

**OMB APPROVAL** 

burden hours per

OMB

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chief Medical Officer

6. Individual or Joint/Group Filing(Check

(D) or

Indirect (I)

(Instr. 4)

Number:

response... 0.5

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

(9-02)

Form: Direct Indirect

Other (specify

D

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 57.54	08/19/2015		A	210,000	(2)	08/19/2025	Common Stock	210,000

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McGowan Mary C/O ESPERION THERAPEUTICS INC. 3891 RANCHERO DRIVE, SUITE 150 ANN ARBOR, MI 48108

Chief Medical Officer

### **Signatures**

/s/ Richard B. Bartram, by power of attorney

08/21/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were acquired pursuant to a restricted stock unit award under the 2013 Amended and Restated Stock Option and Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Esperion Therapeutics, Inc. common stock. 25% of the restricted stock units vest on June 15, 2016 and the remainder shall vest in equal quarterly installments over a three (3) year period thereafter, subject to continued service.
- (2) 25% of option vests on June 15, 2016 and the remainder shall vest in equal quarterly installments over a three (3) year period thereafter. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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