A10 Networks, Inc. Form 4 March 28, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Summit Partners Growth Equity** Fund VIII-A, L.P.

222 BERKELEY STREET, 18TH

(Last)

**FLOOR** 

Common

Stock

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

A10 Networks, Inc. [ATEN]

3. Date of Earliest Transaction (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

03/26/2014

Director 10% Owner Other (specify Officer (give title below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

BOSTON, MA 02116

(City) (State) (Zip)

03/26/2014

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year)

3. 4. Securities Acquired (A) 5. Amount of Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following Reported

Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(9-02)

or Amount (D) Transaction(s) (Instr. 3 and 4)

Code V Price

 $\mathbf{C}$ 9,427,846 9,427,846 <sup>(2)</sup> D (1)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: A10 Networks, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		Underlying S	Title and Amount of Underlying Securities Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series D Redeemable Convertible Preferred Stock	<u>(1)</u>	03/26/2014		С	80,000	(1)	(3)	Common Stock	9,427,84 (2)	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
and the second of the second o	Director	10% Owner	Officer	Other		
Summit Partners Growth Equity Fund VIII-A, L.P. 222 BERKELEY STREET, 18TH FLOOR BOSTON, MA 02116		X				
Summit Partners Growth Equity Fund VIII-B, L.P. 222 BERKELEY STREET, 18TH FLOOR BOSTON, MA 02116		X				
Summit Investors I, LLC 222 BERKELEY STREET, 18TH FLOOR BOSTON, MA 02116		X				
Summit Investors I (UK), L.P. 222 BERKELEY STREET, 18TH FLOOR BOSTON, MA 02116		X				

# **Signatures**

<b> </b>					
Summit Partners Growth Equity Fund VIII-A, L.P., by Summit Partners GE VII, L.P., its GP,					
by Summit Partners GE VII, LLC, its GP, by Summit Partners, L.P., its GP, by Summit Master Company, LLC, its GP, by Robin W. Devereux, POA for Joseph Trustey, Member					
Waster Company, LLC, its Of, by Robin W. Devereux, I OA for Joseph Trustey, Member					
**Signature of Reporting Person	Date				
Summit Partners Growth Equity Fund VIII-B, L.P., by Summit Partners GE VII, L.P., its GP,					
by Summit Partners GE VII, LLC, its GP, by Summit Partners, L.P., its GP, by Summit					
Master Company, LLC, its GP, by Robin W. Devereux, POA for Joseph Trustey, Member					
**Signature of Reporting Person	Date				
Summit Investors I, LLC, by Summit Investors Management, LLC, its Manager, by Summit					
Partners, L.P., its Managing Member, by Summit Master Company, LLC, its general partner,	03/28/2014				
by Robin W. Devereux, POA for Joseph F. Trustey, Member	03/26/2014				

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\*\*Signature of Reporting Person

Date

Summit Investors I (UK), L.P., by Summit Investors Management, LLC, its General Partner, by Summit Partners, L.P., its Managing Member, by Summit Master Company, its General Partner, by Robin W. Devereux, POA for Joseph F. Trustey, Member

03/28/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In connection with the closing of A10 Networks, Inc.'s (the "Issuer") initial public offering of common stock on March 26, 2014, each share of Series D Redeemable Convertible Preferred Stock automatically converted into shares of common stock at a conversion ratio of 117.8481-to-one. Prior to the conversion, each share of Series D Redeemable Convertible Preferred Stock was convertible without payment of further consideration.
- The common stock is held as follows: 6,873,136 in the name of Summit Partners Growth Equity Fund VIII-A, L.P.; 2,510,989 in the name of Summit Partners Growth Equity Fund VIII-B, L.P., 40,186 in the name of Summit Investors I, LLC; and 3,535 in the name of Summit Investors I (UK), L.P.
- (3) The Series D Redeemable Convertible Preferred Stock had no expiration date.

#### **Remarks:**

The entities mentioned in Footnote 2 are collectively referred to as the "Summit Entities." Each of the Summit Entities disclaim Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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