### Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

### TD AMERITRADE HOLDING CORP

Form 4 May 20, 2014

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

Expires: January 31, 2005

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 30(h) of the

1(b).

Common

Common

Stock

Stock

05/16/2014

(Print or Type Responses)

Name and Address of Reporting Person * Gerber William			C	5. Relationship of Reporting Person(s) to Issuer			
			DE HOLDING	(Ch	(Check all applicable)		
(First) (Mi	, -, -, -, -, -, -, -, -, -, -, -, -, -,	3. Date of Earliest Transaction (Month/Day/Year)			Director 10% OwnerX_ Officer (give title Other (specify		
200 S. 108TH AVE				below) below) Chief Financial Officer			
(Street)			te Original	6. Individual or Joint/Group Filing(Check			
	Filed(Mon	th/Day/Year)					
E 68154				Form filed by Person	More than One R	eporting	
(State) (Z	Zip) Table	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficiall					
2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of	
(Month/Day/Year)	Execution Date, if	Transaction	onAcquired (A) or	Securities	Form: Direct	Indirect	
	any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial	
	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership	
				Following	(Instr. 4)	(Instr. 4)	
			(A)	Reported			
			(A)	Transaction(s)			
	am  (First) (Mi H AVE (Street)  E 68154 (State) (2 2. Transaction Date	am Symbol TD AMI CORP [  (First) (Middle) 3. Date of (Month/D H AVE 05/16/20  (Street) 4. If Amer Filed(Mon E 68154  (State) (Zip) Table 2. Transaction Date (Month/Day/Year) Execution Date, if any	am Symbol TD AMERITRAI CORP [AMTD]  (First) (Middle) 3. Date of Earliest Tra (Month/Day/Year) H AVE 05/16/2014  (Street) 4. If Amendment, Da Filed(Month/Day/Year)  E 68154  (State) (Zip) Table I - Non-D  2. Transaction Date 2A. Deemed 3. (Month/Day/Year) Execution Date, if Transaction any Code	Symbol  TD AMERITRADE HOLDING  CORP [AMTD]  (First) (Middle) 3. Date of Earliest Transaction  (Month/Day/Year)  H AVE 05/16/2014  (Street) 4. If Amendment, Date Original  Filed(Month/Day/Year)  E 68154  (State) (Zip) Table I - Non-Derivative Securities A  2. Transaction Date 2A. Deemed 3. 4. Securities  (Month/Day/Year) Execution Date, if TransactionAcquired (A) or any Code Disposed of (D)  (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)	Symbol TD AMERITRADE HOLDING CORP [AMTD]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) — Director ————————————————————————————————————	Symbol TD AMERITRADE HOLDING CORP [AMTD]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) Applicable Line) X_ Form filed by One Reporting Poreson  E 68154  (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial  2. Transaction Date (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficial  2. Transaction Date (A) Or Securities Form: Direct any Code Disposed of (D) Beneficially (D) or (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4) Reported Transaction(s)	

Code V Amount

470

A

or

(D)

Α

Price

\$0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

(Instr. 3 and 4)

D

I

202,378

23,444

By 401(k)

plan

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration	Title N			
						Exercisable	Date	Title Number of			
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Gerber William 200 S. 108TH AVE **OMAHA, NE 68154** 

Chief Financial Officer

## **Signatures**

/s/ David L. Lambert, as attorney-in-fact for William J.

Gerber 05/20/2014

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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