

MAKRIS GEORGE JR

Form 4

January 22, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MAKRIS GEORGE JR

2. Issuer Name **and** Ticker or Trading
Symbol
SIMMONS FIRST NATIONAL
CORP [SFNC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

SIMMONS FIRST NATIONAL
CORP., 501 MAIN STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
01/18/2018

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman & CEO

PINE BLUFF, AR 71601

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
SFNC	01/18/2018		A		25,000	A \$ 58.25	127,883	D	
SFNC	01/18/2018		F		11,537	D \$ 58.25	116,346	D	
SFNC	01/18/2018		A		6,103	A \$ 58.25	122,449	D	
SFNC	01/18/2018		F		2,902	D \$ 58.25	119,547	D	
SFNC	01/18/2018		M		2,270	A \$ 58.25	121,817	D	

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SFNC	01/18/2018	F	1,050	D	\$ 58.25	120,767	D	
SFNC						44,396	D	
SFNC						1,871	I	Trust
SFNC						898 ⁽⁷⁾	D	
SFNC						508	D	
SFNC						4,050	I	By IRA
SFNC						4,750	I	IRA (Spouse)
SFNC						67	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 58.25	01/18/2018		M		2,270		(1)	(1)	Common	2,270
Restricted Stock Unit	(3)	01/18/2018		A		7,563		(4)	(4)	Common	7,563
Performance Stock Unit	(5)	01/18/2018		A		15,126		(6)	(6)	Common	15,126

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MAKRIS GEORGE JR SIMMONS FIRST NATIONAL CORP.	X		Chairman & CEO	

501 MAIN STREET
PINE BLUFF, AR 71601

Signatures

/s/ George Makris, Jr. by Danielle
Hasty

01/22/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Unit vested on January 18, 2018.
- (2) One half of the Restricted Stock Unit vests on January 18, 2019 and 2020. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.
- (3) Each Restricted Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock.
2,495 Restricted Stock Units vest on January 18, 2019; 2,496 Restricted Stock Units vest on January 18, 2020 and 2,572 Restricted Stock
- (4) Units vest on January 18, 2021. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.
- (5) Each Performance Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock.
The shares listed are the maximum number of shares which may vest under this grant. Vesting will occur subsequent to the attainment of
- (6) performance goals set and verified by the Compensation Committee of the Company's Board of Directors for the performance period ending December 31, 2020, contingent upon the reporting person's continued employment with the registrant.
- (7) Total share amount increased by 399 shares due company's Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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