NELSON DAVID D

Form 4

November 26, 2018

COMMON

STOCK

FORM	1	TATES SECUR	ITIES AN	ID EXC	HAN	IGE C	OMMISSION		PPROVAL		
Check this	hov	Wasl	nington, I	D.C. 205	49			Number:	3235-0287		
if no longer		ENT OF CHANG	TEC IN D	ENEE!	'T A T	OWN	лерсиір ле	Expires:	January 31, 2005		
subject to Section 16. Form 4 or Form 5			F CHANGES IN BENEFICIAL OWN SECURITIES					Estimated a burden hou response	ırs per		
obligations may contin See Instruction.	ue. Section 17(a)	of the Public Uti 30(h) of the Inv	lity Holdi	ng Comp	any	Act of	1935 or Section	n			
(Print or Type Re	sponses)										
NELSON DAVID D Sy W			2. Issuer Name and Ticker or Trading Symbol WEST BANCORPORATION INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (Mic		*				_X_ Director		6 Owner		
1601 22ND S		(Month/Day/Year) 11/21/2018				X Officer (give title Other (specify below) CEO & PRESIDENT					
	(Street)	4. If Amen Filed(Mont	dment, Date h/Day/Year)	Original			6. Individual or Jo Applicable Line)	int/Group Fili	ng(Check		
WEST DES N	MOINES, IA 5026		• ,				_X_ Form filed by C Form filed by M Person				
(City)	(State) (Z	ip) Table	I - Non-De	rivative Se	curit	ies Acq	uired, Disposed of	, or Beneficial	lly Owned		
1.Title of 2. Transaction Da Security (Month/Day/Year (Instr. 3)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			d of	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
COMMON			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(11131111)			
COMMON STOCK	11/21/2018		L	419 (1)	A	\$ 20.8	88,494	D			
COMMON STOCK							39,084	I	By Katherine A. Nelson Rev Trust		

By Nicholas

Robert

Nelson Roth IRA

440 (2)

I

Edgar Filing: NELSON DAVID D - Form 4

COMMON STOCK	202 (3)	I	By Natalie Ann Nelson Roth IRA
COMMON STOCK	23,165 (4)	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amour Underl Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
NELSON DAVID D 1601 22ND STREET WEST DES MOINES, IA 50266	X		CEO & PRESIDENT				

Signatures

Jane Funk, By Power of Attorney

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: NELSON DAVID D - Form 4

- (1) Shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (2) 4 shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (3) 2 shares acquired pursuant to reinvestment of dividends received into purchases of new shares.
- (4) 187 shares were acquired pursuant to dividend reinvestment purchases in the employee savings plan 401(k) during the period from July 1, 2018 through September 30, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.