

HARRIS & HARRIS GROUP INC /NY/  
 Form 4  
 September 10, 2002

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, DC 20549**

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

OMB APPROVAL  
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(Print or Type Responses)

| 1. Name and Address of Reporting Person*<br>Shavin Helene B.                            |                                      | 2. Issuer Name and Ticker or Trading Symbol<br>Harris & Harris Group, Inc. (TINY) |   | 6. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |  |   |  |   |
|---|--------------------------------------|---|---|--|--|---|--|---|
|   |                                      |   |   | <input type="checkbox"/>   | Director                                     | <input type="checkbox"/>  | 10% Owner  |   |
|   |                                      |   |   | <input checked="" type="checkbox"/>  | Officer (give title below)                   | <input type="checkbox"/>  | Other (specify below)                                    |   |
|   |                                      |   |   | Vice President & Controller  |  |   |  |   |
| (Last) (First) (Middle)<br>One Rockefeller Plaza, Suite 1430                            |                                      | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)     | 4. Statement for Month/Year<br>September 2002                     | 7. Individual or Joint/Group Filing (Check Applicable Line)                |  |   |  |   |
| (Street)<br>New York, NY 10020  |                                      |   |   | <input checked="" type="checkbox"/>  | Form filed by One Reporting Person           |   |  |   |
| (City) (State) (Zip)  |                                      |   |   | <input type="checkbox"/>   | Form filed by More than One Reporting Person |   |  |   |
| <b>Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b> |                                      |   |   |  |  |   |  |   |
| 1. Title of Security (Instr. 3)   | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8)  | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |  |  | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|   |                                      |   | Code  | V  | Amount                                       |   |  |   |
| Common Stock  | 9/9/02                               | P   |   | 1,000  | A  | \$2.064   | 3,000  | D   |

