Edgar Filing: CLEARSIGN COMBUSTION CORP - Form 4/A

CLEARSIGN COMBUSTION CORP

Form 4/A January 26, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2 Januar Nama and Tielzer or Tradina

OMB 3235-0287 Number:

OMB APPROVAL

Expires:

5 Relationship of Reporting Person(s) to

January 31, 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person *

1(b).

Common

Stock/CLIR

01/25/2017

Kendrick Dor	ald W	Symbol CLEARSIC CORP [CL	GN COM		C		Issuer (Chec	k all applicable	,
(Last) 12870 INTER SOUTH	(First) (Middle)	3. Date of Ear (Month/Day/\) 01/25/2017	· · · · · · · · · · · · · · · · · · ·				Director 10% Owner _X_ Officer (give title Other (specify below) Chief Technology Officer		
SEATTLE, W	(Street) VA 98168	4. If Amendm Filed(Month/D 01/26/2017	Day/Year)	Original			6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person	•	rson
(City)	(State) (Zip)	Table I -	· Non-Deri	vative Sec	curitie	s Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	aı	execution Date, if	3. Transactic Code (Instr. 8)	4. Securi on Acquired Disposed (Instr. 3,	l (A) o l of (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock/CLIR	01/25/2017		X	1,400	A	\$ 4 (1)	8,400	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

X

2,600

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

15,600

I

By IRA

Edgar Filing: CLEARSIGN COMBUSTION CORP - Form 4/A

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of tiorDerivative Securities) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Nu of Sha
Subscription Rights (Right to Purchase)	\$ 4	12/27/2016		X		1,400	12/27/2016	01/13/2017	See Footnote	1,
Subscription Rights (Right to Purchase)	\$ 4	12/27/2016		X		2,600	12/27/2016	01/13/2017	See Footnote	2,
Warrant to Purchase Common Stock	\$ 4	01/25/2017		X	1,400		01/25/2017	01/25/2019	Common Stock (2)	1,
Warrant to Purchase Common Stock	\$ 4	01/25/2017		X	2,600		01/25/2017	01/25/2019	Common Stock (2)	2,

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Kendrick Donald W 12870 INTERURBAN AVENUE SOUTH SEATTLE, WA 98168

Chief Technology Officer

Signatures

/s/ Donald W.	01/26/2017
Kendrick	01/20/2017

**Signature of Reporting Date
Person

Reporting Owners 2

Edgar Filing: CLEARSIGN COMBUSTION CORP - Form 4/A

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock and the warrants reported on this Form 4 were acquired pursuant to the exercise of subscription rights previously distributed by the Issuer to all holders of the Issuer's common stock. Each holder received 0.2 subscription rights per share of stock owned, and each right allowed the recipient to purchase one unit, consisting of one share of common stock and one warrant to purchase one share of common stock. The subscription price per unit was \$4.00.
- (2) Each warrant entitles the holder to purchase one share of the Company's common stock at an exercise price of \$4.00 from the date of issuance through January 25, 2019.

Remarks:

This Form 4/A is submitted to correct the Reporting Person's title as an Officer of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.