

LANDMARK BANCORP INC
Form 4
August 03, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BALL RICHARD

2. Issuer Name and Ticker or Trading Symbol
LANDMARK BANCORP INC [LARK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

2006 BROADWAY, SUITE D

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(Street)

GREAT BEND, KS 67530

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | 08/01/2016 | | | A 400 A \$ 25.75 | 81,070 | D | |
| Common Stock | 03/02/2016 | | | P 171 A \$ 24.7446 | 21,522 ⁽¹⁾ | I | IRA/Pension |
| Common Stock | 05/26/2016 | | | P 167 A \$ 25.5118 | 21,522 ⁽¹⁾ | I | IRA/Pension |
| Common Stock | 03/02/2016 | | | P 7 A \$ 24.7446 | 904 ⁽¹⁾ | I | Spouse IRA |
| Common Stock | 05/26/2016 | | | P 7 A \$ 25.5118 | 904 ⁽¹⁾ | I | Spouse IRA |

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| | | | | | | | | |
|--------------|------------|---|---|---|------------|--------------------|---|-----------------------------|
| Common Stock | 03/02/2016 | P | 4 | A | \$ 24.7446 | 536 ⁽¹⁾ | I | As Trustee |
| Common Stock | 03/02/2016 | P | 4 | A | \$ 25.5118 | 536 ⁽¹⁾ | I | As Trustee |
| Common Stock | | | | | | 9,047 | I | See Footnote ⁽²⁾ |
| Common Stock | | | | | | 264 ⁽¹⁾ | I | Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| BALL RICHARD 2006 BROADWAY, SUITE D GREAT BEND, KS 67530 | | X | | |

Signatures

Mark A. Herpich, as Attorney-in-Fact for
Richard Ball

08/03/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As adjusted for the Company's 5% stock dividend in December 2015.
 - (2) Represents shares held by a company in which Mr. Ball has a controlling interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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