#### Edgar Filing: TORTOISE PIPELINE & ENERGY FUND, INC. - Form 3

TORTOISE PIPELINE & ENERGY FUND, INC.

Form 3 July 07, 2015

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person <u>*</u> MICK JA			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol TORTOISE PIPELINE & ENERGY FUND, INC. [TTP]						
(Last)	(First)	(Middle)	06/30/2015	4. Relationship of Reporting Person(s) to Issuer  (Check all applicable)  Director 10% Owner			5. If Amendment, Date Original Filed(Month/Day/Year)  6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
11550 ASH 300	STREET,	SUITE								
LEAWOOD	), KS 6	66211		OfficerXOther (give title below) (specify below) Member of Investment Committee						
(City)	(State)	(Zip)	Table I - N	Non-Deriva	tive Securiti	ies Be	Beneficially Owned			
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	*			
Common Sh	ares		0		D	Â				
Reminder: Repo	_		ach class of securities benefic	ially	SEC 1473 (7-02	2)				
	infor requi	mation cont ired to resp	spond to the collection of ained in this form are not and unless the form displ MB control number.	<b>!</b>						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

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Date Expiration Amount or or Indirect Exercisable Date Number of (I) Shares (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MICK JAMES RYAN

11550 ASH STREET SUITE 300 Â Â Â Member of Investment Committee

LEAWOOD, KSÂ 66211

# **Signatures**

James R. Mick 07/07/2015

\*\*Signature of Person Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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