Edgar Filing: TORTOISE PIPELINE & ENERGY FUND, INC. - Form 4

TORTOISE PIPELINE & ENERGY FUND, INC.

Form 4 April 08, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BIRZER H KEVIN			2. Issuer Name and Ticker or Trading Symbol TORTOISE PIPELINE & ENERGY FUND, INC. [TTP]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(M			(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 04/07/2015					X Director 10% Owner Officer (give title below) Other (specify below)				
	(Street) O, KS 66211	(7:n)	4. If Ame Filed(Mor	nth/Day/Y	Year)				Person	One Reporting Position on Report than One Report than One Report than One Report to the Report to th	erson eporting		
(City)	(State)	(Zip)	Tabl	le I - No	n-D	erivative	Secur	ities Acq	cquired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	er) Execution any	med on Date, if Day/Year)	Code (Instr.	ection 8)	4. Securin(A) or Di (Instr. 3,	spose	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Shares	04/07/2015			P		100	A	\$ 28.89	5,451	D			
Common Shares									332	I	By spouse as custodian of children's accounts		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.													
Persons who respond to the collection of SEC 1474													

information contained in this form are not

(9-02)

Edgar Filing: TORTOISE PIPELINE & ENERGY FUND, INC. - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or Name land		
						Exercisable	Date		Number		
				C 1 1	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BIRZER H KEVIN 11550 ASH STREET SUITE 300	X							
LEAWOOD KS 66211								

Signatures

H. Kevin Birzer 04/08/2015

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2