Achaogen Inc Form 4 March 17, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Versant Ventures II LLC

2. Issuer Name and Ticker or Trading Symbol

Issuer

(Last) (First) (Middle) Achaogen Inc [AKAO]

03/17/2014

(Check all applicable)

5. Relationship of Reporting Person(s) to

3000 SAND HILL

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title below)

\_X\_\_ 10% Owner \_\_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

ROAD, BUILDING 4, SUITE 210 (Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person X Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MENLO PARK, CA 94025

(City)	(State)	<sup>(Zip)</sup> Tabl	le I - Non-E	Derivative Se	ecuriti	ies Acq	uired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securitie or(A) or Disp (Instr. 3, 4	osed (and 5)  (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(		Caa
Common Stock	03/17/2014		С	6,290	A	(1)	6,341	I	See Footnotes (2) (5)
Common Stock	03/17/2014		C	5,399	A	<u>(6)</u>	11,740	I	See Footnotes (2) (5)
Common Stock	03/17/2014		C	6,320	A	<u>(7)</u>	18,060	I	See Footnotes (2) (5)
Common Stock	03/17/2014		С	5,058	A	<u>(7)</u>	23,118	I	See Footnotes

								(2) (5)
Common Stock	03/17/2014	C	2,962	A	(1)	3,240	I	See Footnotes (5) (3)
Common Stock	03/17/2014	C	2,542	A	<u>(6)</u>	5,782	I	See Footnotes (5) (3)
Common Stock	03/17/2014	C	2,975	A	<u>(7)</u>	8,757	I	See Footnotes (5) (3)
Common Stock	03/17/2014	C	2,382	A	<u>(7)</u>	11,139	I	See Footnotes (5) (3)
Common Stock	03/17/2014	C	331,544	A	(1)	362,741	I	See Footnotes (5) (4)
Common Stock	03/17/2014	C	284,492	A	<u>(6)</u>	647,233	I	See Footnotes (5) (4)
Common Stock	03/17/2014	C	333,119	A	<u>(7)</u>	980,352	I	See Footnotes (5) (4)
Common Stock	03/17/2014	C	266,543	A	<u>(7)</u>	1,246,895	I	See Footnotes (5) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
Series A Preferred	<u>(1)</u>	03/17/2014		Code V	(A) (D) 5,482	Date Exercisable	Expiration Date (9)	Title  Common Stock	Amount or Number of Shares 6,290

#### Edgar Filing: Achaogen Inc - Form 4

Stock (1)								
Series A Preferred Stock (1)	(1)	03/17/2014	C	2,582	<u>(8)</u>	<u>(9)</u>	Common Stock	2,962
Series A Preferred Stock (1)	<u>(1)</u>	03/17/2014	C	288,917	<u>(8)</u>	<u>(9)</u>	Common Stock	331,544
Series B Preferred Stock (6)	<u>(6)</u>	03/17/2014	C	4,063	<u>(8)</u>	<u>(9)</u>	Common Stock	5,399
Series B Preferred Stock (6)	<u>(6)</u>	03/17/2014	C	1,913	<u>(8)</u>	<u>(9)</u>	Common Stock	2,542
Series B Preferred Stock (6)	<u>(6)</u>	03/17/2014	C	214,118	(8)	<u>(9)</u>	Common Stock	284,492
Series C Preferred Stock (7)	<u>(7)</u>	03/17/2014	С	6,320	(8)	<u>(9)</u>	Common Stock	6,320
Series C Preferred Stock (7)	<u>(7)</u>	03/17/2014	C	2,975	(8)	<u>(9)</u>	Common Stock	2,975
Series C Preferred Stock (7)	<u>(7)</u>	03/17/2014	C	333,119	(8)	<u>(9)</u>	Common Stock	333,119
Series D Preferred Stock (7)	<u>(7)</u>	03/17/2014	C	5,058	(8)	<u>(9)</u>	Common Stock	5,058
Series D Preferred Stock (7)	<u>(7)</u>	03/17/2014	С	2,382	(8)	<u>(9)</u>	Common Stock	2,382
Series D Preferred Stock (7)	<u>(7)</u>	03/17/2014	C	266,543	(8)	<u>(9)</u>	Common Stock	266,543

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
·F. · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other				
Versant Ventures II LLC								
3000 SAND HILL ROAD		v						
BUILDING 4, SUITE 210		X						
MENLO PARK, CA 94025								

Reporting Owners 3

X

Versant Side Fund II, LP 3000 SAND HILL ROAD BLDG. 4, STE. 210 MENLO PARK, CA 94025

Versant Affiliates Fund II-A, LP 3000 SAND HILL ROAD BLDG. 4, STE. 210 MENLO PARK, CA 94025

Versant Venture Capital II, LP 3000 SAND HILL ROAD BLDG. 4, STE. 210 MENLO PARK, CA 94025

### **Signatures**

Versant Side Fund II, L.P. By: /s/ Robin L. Praeger, Attorney-in-Fact 03/17/2014 \*\*Signature of Reporting Person Date Versant Venture Capital II, L.P. By: /s/ Robin L. Praeger, Attorney-in-Fact 03/17/2014 \*\*Signature of Reporting Person Date Versant Affiliates Fund II-A, L.P. By: /s/ Robin L. Praeger, Attorney-In-Fact 03/17/2014 \*\*Signature of Reporting Person Date Versant Ventures II, LLC By: /s/ Robin L. Praeger, CFO 03/17/2014 \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series A Preferred Stock automatically converted on a 1-to-1.147541 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering on March 17, 2014.
- (2) Securities held of record by Versant Affiliates Fund II-A, L.P. ("VAF-IIA").
- (3) Securities held of record by Versant Side Fund II, L.P. ("VSF II").
- (4) Securities held of record by Versant Venture Capital II, L.P. ("VVC II").
  - Versant Ventures II, LLC ("VV II") serves as the sole general partner of VAF II-A, VSF II and VVC II and owns no shares directly. Brian G. Atwood, Ross A. Jaffe, M.D., Samuel D. Colella, Donald B. Milder, Rebecca B. Robertson, Bradley J. Bolzon, Ph.D., William
- (5) J. Link, Ph.D., Charles M. Warden, and Barbara N. Lubash, as managing directors of VV II, share voting and investment authority over the shares held by VAF II-A, VSF II and VVC II; however, they disclaim beneficial ownership of the shares held by VAF II-A, VSF II and VVC II except to the extent of their pecuniary interests therein.
- (6) Each share of Series B Preferred Stock automatically converted on a 1-to-1.328671 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering on March 17, 2014.
- (7) Each share of Series C and Series D Preferred Stock automatically converted on a 1-to-1 basis into Common Stock immediately prior to the closing of the Issuer's initial public offering on March 17, 2014.
- (8) The shares converted into Common Stock immediately prior to the closing of the Issuer's initial public offering.
- (9) The shares do not have an expiration date.

Signatures 4

#### Edgar Filing: Achaogen Inc - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.