

AGCO CORP /DE  
Form 4  
November 01, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**SRINIVASAN MALLIKA**

(Last) (First) (Middle)

**TRACTORS AND FARM  
EQUIPMENT LIMITED, NO. 35  
NUNGAMBAKKAM HIGH ROAD**

(Street)

**CHENNAI, K7 600 034**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**AGCO CORP /DE [AGCO]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**10/31/2013**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |                   |            |                    | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-------------------|------------|--------------------|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount            | (A) or (D) | Price              |   |  |   |
| Common Stock                    | 10/31/2013                           |  | P                              |   | 62,828 <u>(1)</u> | A          | \$ 57.7 <u>(2)</u> | 1,084,875 <u>(3)</u>  | I  | By TAFE Motors and Tractors Limited                   |
| Common Stock                    |                                      |  |                                |   |                   |            |                    | 5,089,543 <u>(4)</u>  | I  | By Tractors and Farm Equipment Limited                |
| Common Stock                    |                                      |  |                                |   |                   |            |                    | 4,485 <u>(5)</u>  | D  |   |

# Edgar Filing: AGCO CORP /DE - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number<br>of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 6) |
|---|--|---|---|--------------------------------------|--|--|---|---|--|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships                    |
|---|----------------------------------|
|   | Director 10% Owner Officer Other |
| SRINIVASAN MALLIKA<br>TRACTORS AND FARM EQUIPMENT LIMITED<br>NO. 35 NUNGAMBAKKAM HIGH ROAD<br>CHENNAI, K7 600 034 | X                                |

## Signatures

/s/ Matthew M. Lucas,  
Attorney-In-Fact 10/31/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares were purchased pursuant to a Rule 10b5-1 trading plan entered into by Tractors and Farm Equipment Limited ("TAFE") and TAFE Motors and Tractors Limited ("TMTL") on August 26, 2013.

- (2) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$57.31 to \$58.00. The reporting person undertakes to provide to AGCO Corporation, any security holder of AGCO Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (2).

## Edgar Filing: AGCO CORP /DE - Form 4

(3) TMTL is a wholly-owned subsidiary of TAFE. The reporting person is an officer of TMTL and is a beneficial owner of certain equity securities of TMTL. The reporting person disclaims beneficial ownership of the shares reported in Column 5 of this row except to the extent of the reporting person's beneficial ownership of equity securities of TMTL, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

(4) The reporting person is an officer of TAFE and is a beneficial owner of certain equity securities of TAFE. The reporting person disclaims beneficial ownership of the shares reported in Column 5 of this row except to the extent of the reporting person's beneficial ownership of equity securities of TAFE, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

(5) These shares reflect awards under the AGCO Corporation 2006 Long-Term Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.