### Edgar Filing: Howes Robert S Jr - Form 4

| Howes Robert S Jr<br>Form 4<br>May 25, 2012 OMB APPROVAL   FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 OMB APPROVAL   Check this box<br>if no longer<br>subject to<br>subject to<br>subj |  |  |  |  |                       |  |
|--|--|--|--|--|-----------------------|--|
| 1. Name and A<br>Howes Rob   | Address of Reporting Person <u>*</u><br>ert S Jr | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>ESSA Bancorp, Inc. [ESSA] | 5. Relationship of<br>Issuer   |  |                       |  |
| (Last)<br>200 PALM   | (First) (Middle)<br>ER STREET                    | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>05/23/2012                  | Director<br>XOfficer (give<br>below)   |  | Owner<br>er (specify  |  |
| STROUDS  | (Street)<br>BURG, PA 18360                       | 4. If Amendment, Date Original Filed(Month/Day/Year)                               | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by O<br>Form filed by M<br>Person                        | One Reporting Pe   | rson                  |  |
| (City)   | (State) (Zip)                                    | Table I - Non-Derivative Securities Ac   |  | , or Beneficial  | ly Owned              |  |
| 1.Title of<br>Security<br>(Instr. 3)   | any  |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of          |  |
| Common<br>Stock  | 05/23/2012                                       | F 4,161 D <sup>\$</sup><br>10.42   | 26,062 <u>(2)</u>  | D  |                       |  |
| Common<br>Stock  |  |  | 25,531 <u>(1)</u>  | Ι  | By 401(k)             |  |
| Common<br>Stock  |  |  | 150  | Ι  | By child              |  |
| Common<br>Stock  |  |  | 121  | Ι  | By<br>spouse's<br>IRA |  |
| Common<br>Stock  |  |  | 820  | Ι  | By IRA                |  |

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| Common<br>Stock   |   |   |   |                                       |  | 6,486 <u>(1)</u>  | Ι                  | By E            | SOP                              |
|---|---|---|---|---------------------------------------|--|---|--------------------|-----------------|----------------------------------|
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)   Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) SEC 1474 (9-02) |   |   |   |                                       |  |   |                    |                 |                                  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>oriNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | (Month/Day/Year) (Instr. 3 and 4)<br>ve<br>es<br>d<br>d |                    | Securities      |                                  |
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable                                     | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Stock<br>Options  | \$ 12.35  |   |   |                                       |  | 05/23/2009  | 05/23/2018         | Common<br>Stock | 123,799<br>(3)                   |

# **Reporting Owners**

| Reporting Owner Name / Address                                  | Relationships |           |                       |       |
|---|---------------|-----------|-----------------------|-------|
|   | Director      | 10% Owner | Officer               | Other |
| Howes Robert S Jr<br>200 PALMER STREET<br>STROUDSBURG, PA 18360 |               |           | Senior Vice President |       |
| Signatures  |               |           |                       |       |
| /s/ Marc Levy, Pursuant to Pow<br>Attorney                      | ver of        | (         | 05/25/2012            |       |
| **Signature of Reporting Person                                 | n             |           | Date                  |       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Includes shares of restricted stock that vest at a rate of 20% per year commencing on May 23, 2009.
- (3) Reflects stock options that vest at a rate of 20% per year commencing on May 23, 2009.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

8. P Der Sec (Ins

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