

Hufnagel Michael E
Form 4
May 17, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hufnagel Michael E

(Last) (First) (Middle)

1 AVX BLVD

(Street)

FOUNTAIN INN, SC 29681

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AVX Corp [AVX]

3. Date of Earliest Transaction (Month/Day/Year)
07/29/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)

Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	07/29/2016		M		500	A	\$ 11.25
Common Stock	07/29/2016		S		500	D	\$ 13.65
Common Stock	07/29/2016		M		500	A	\$ 10.9
Common Stock	07/29/2016		S		500	D	\$ 13.65
Common Stock	01/25/2017		M		1,000	A	\$ 13.32

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Common Stock	01/25/2017	S	1,000	D	\$ 16.53	0	D
Common Stock	01/25/2017	M	1,500	A	\$ 14.55	1,500	D
Common Stock	01/25/2017	S	1,500	D	\$ 16.53	0	D
Common Stock	01/25/2017	M	2,000	A	\$ 14.58	2,000	D
Common Stock	01/25/2017	S	2,000	D	\$ 16.53	0	D
Common Stock	04/29/2017	<u>M⁽¹⁾</u>	667	A	\$ 16.91	667	D
Common Stock	04/29/2017	<u>M⁽²⁾</u>	800	A	\$ 16.91	1,467	D
Common Stock	04/29/2017	<u>F⁽³⁾</u>	265	D	\$ 16.91	1,202	D
Common Stock	04/29/2017	<u>F⁽³⁾</u>	318	D	\$ 16.91	884	D
Common Stock	05/01/2017	<u>A⁽¹⁾</u>	3,000	A	\$ 16.86	3,884	D
Common Stock	05/01/2017	M	500	A	\$ 11.25	4,384	D
Common Stock	05/01/2017	S	500	D	\$ 16.9	3,884	D
Common Stock	05/01/2017	M	1,000	A	\$ 13.32	4,884	D
Common Stock	05/01/2017	S	1,000	D	\$ 16.79	3,884	D
Common Stock	05/01/2017	M	1,500	A	\$ 14.55	5,384	D
Common Stock	05/01/2017	S	1,500	D	\$ 16.66	3,884	D
Common Stock	04/29/2018	<u>M⁽¹⁾</u>	666	A	\$ 14.63	4,550	D
Common Stock	04/29/2018	<u>F⁽³⁾</u>	245	D	\$ 14.63	4,305	D
Common Stock	05/01/2018	<u>A⁽²⁾</u>	1,800	A	\$ 14.93	6,105	D
Common Stock	05/01/2018	<u>F⁽³⁾</u>	660	D	\$ 14.93	5,445	D
	05/01/2018	<u>F⁽³⁾</u>	367	D		5,078	D

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Common Stock						\$		
						14.93		
Common Stock	05/01/2018		A ⁽¹⁾	4,000	A	\$	9,078	D
						14.93		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Incentive Stock Option (Right to Buy)	\$ 11.25	07/29/2016		M	500	04/26/2014 04/26/2023	Common Stock	500
Incentive Stock Option (Right to Buy)	\$ 10.9	07/29/2016		M	500	05/17/2013 05/17/2022	Common Stock	500
Incentive Stock Option (Right to Buy)	\$ 13.32	01/25/2017		M	1,000	04/24/2015 04/24/2024	Common Stock	1,000
Incentive Stock Option (Right to Buy)	\$ 14.55	01/25/2017		M	1,500	04/16/2016 04/16/2025	Common Stock	1,500
Incentive Stock Option (Right to Buy)	\$ 14.58	01/25/2017		M	2,000	06/24/2012 06/24/2021	Common Stock	2,000
	<u>1</u>	04/29/2017		M	667	<u>(1)</u> <u>(1)</u>		667

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Service RSU Award								Common Stock	
Performance RSU Award	(2)	04/29/2017	M	800	04/29/2017	(2)		Common Stock	800
Incentive Stock Option (Right to Buy)	\$ 11.25	05/01/2017	M	500	04/26/2014	04/26/2023		Common Stock	500
Incentive Stock Option (Right to Buy)	\$ 13.32	05/01/2017	M	1,000	04/24/2015	04/24/2024		Common Stock	1,000
Incentive Stock Option (Right to Buy)	\$ 14.55	05/01/2017	M	1,500	04/16/2016	04/16/2025		Common Stock	1,500
Service RSU Award	(1)	04/29/2018	M	666	(1)	(1)		Common Stock	666

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hufnagel Michael E 1 AVX BLVD FOUNTAIN INN, SC 29681			Chief Accounting Officer	

Signatures

Kurt P. Cummings,
attorney-in-fact
05/17/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest annually over a three-year period. The restricted stock units each convert into common stock on a one for one basis.
- (2) The performance award is subject to meeting certain performance targets and will vest in one-year. The restricted stock units each convert into common stock on a one for one basis.
- (3) Withholding of shares for the payment of the tax liability associated with the vesting of shares of common stock

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