CORNING INC/NY

Form 3 May 09, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement CORNING INC /NY [GLW] Musser Eric S (Month/Day/Year) 05/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE RIVERFRONT PLAZA (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person CORNING, NYÂ 14831 (give title below) (specify below) Form filed by More than One Exec VP, Corning Tech. & Intl. Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 30,000 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4) Pri		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Unit	(1)	(1)	Common Stock	22,214	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	(3)	(3)	Common Stock	22,801	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	(4)	(4)	Common Stock	26,766	\$ (2)	D	Â
Restricted Stock Unit	(5)	(5)	Common Stock	4,799	\$ (2)	D	Â
Stock Options (Right to Buy)	12/07/2006	12/06/2015	Common Stock	20,000	\$ 21.08	D	Â
Stock Options (Right to Buy)	12/06/2007	12/05/2016	Common Stock	18,000	\$ 21.89	D	Â
Stock Options (Right to Buy)	12/05/2008	12/04/2017	Common Stock	18,000	\$ 24.92	D	Â
Stock Options (Right to Buy)	01/02/2009	01/01/2017	Common Stock	9,000	\$ 18.85	D	Â
Stock Options (Right to Buy)	02/01/2009	01/31/2016	Common Stock	10,000	\$ 24.72	D	Â
Stock Options (Right to Buy)	01/02/2010	01/01/2018	Common Stock	9,000	\$ 23.37	D	Â
Stock Options (Right to Buy)	02/01/2010	01/31/2017	Common Stock	9,000	\$ 20.86	D	Â
Stock Options (Right to Buy)	12/02/2010 <u>(6)</u>	12/01/2019	Common Stock	9,666	\$ 17.82	D	Â
Stock Options (Right to Buy)	01/04/2011(7)	01/03/2020	Common Stock	9,667	\$ 19.56	D	Â
Stock Options (Right to Buy)	02/01/2011	01/31/2018	Common Stock	9,000	\$ 24.61	D	Â
Stock Options (Right to Buy)	02/01/2011(8)	01/31/2020	Common Stock	9,667	\$ 18.16	D	Â
Stock Options (Right to Buy)	01/03/2012(9)	01/02/2021	Common Stock	10,615	\$ 19.19	D	Â
Stock Options (Right to Buy)	02/01/2012(10)	01/31/2021	Common Stock	8,978	\$ 22.69	D	Â
Stock Options (Right to Buy)	03/01/2012(11)	02/28/2021	Common Stock	9,247	\$ 22.03	D	Â
Stock Options (Right to Buy)	01/03/2015	01/02/2022	Common Stock	19,971	\$ 13.04	D	Â
	02/01/2015	01/31/2022		20,187	\$ 12.9	D	Â

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Stock Options (Right to Buy)			Common Stock				
Stock Options (Right to Buy)	03/01/2015	02/28/2022	Common Stock	20,078	\$ 12.97	D	Â
Stock Options (Right to Buy)	07/18/2015	07/17/2022	Common Stock	12,611	\$ 12.39	D	Â
Stock Options (Right to Buy)	03/28/2016	03/27/2023	Common Stock	26,792	\$ 13.33	D	Â
Stock Options (Right to Buy)	04/30/2016	04/29/2023	Common Stock	24,631	\$ 14.5	D	Â
Stock Options (Right to Buy)	05/31/2016	05/30/2023	Common Stock	23,236	\$ 15.37	D	Â
Stock Options (Right to Buy)	03/31/2017	03/30/2024	Common Stock	11,107	\$ 20.82	D	Â
Stock Options (Right to Buy)	04/30/2017	04/29/2024	Common Stock	11,059	\$ 20.91	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
Troporting O whor I wanter I wanted	Director	10% Owner	Officer	Other	
Musser Eric S ONE RIVERFRONT PLAZA CORNING, NY 14831	Â	Â	Exec VP, Corning Tech. & Intl.	Â	

Signatures

Linda E. Jolly, Power of Attorney 05/09/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- The Restricted Stock Units vest 100% on February 16, 2015. Vested shares will be delivered to the reporting person within sixty (60) days after February 16, 2015. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to February 16, 2015.
- The Restricted Stock Units vest 100% on April 18, 2016. Vested shares will be delivered to the reporting person within thirty (30) days after April 18, 2016. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 18, 2016.
- (5) The Restricted Stock Units vest 100% on July 18, 2015. Vested shares will be delivered to the reporting person within sixty (60) days after July 18, 2015. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to July

Reporting Owners 3

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18, 2015.

- (6) The options vest in three equal annual installments beginning on December 2, 2010.
- (7) The options vest in three equal annual installments beginning on January 4, 2011.
- (8) The options vest in three equal annual installments beginning on February 1, 2011.
- (9) The options vest in three equal annual installments beginning on January 3, 2012.
- (10) The options vest in three equal annual installments beginning on February 1, 2012.
- (11) The options vest in three equal annual installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.