**SAIA INC** Form 4 March 06, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

(Print or Type Responses)

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \* **BUCHHOLZ SALLY R** 

2. Issuer Name and Ticker or Trading

Symbol

SAIA INC [SAIA]

(First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year)

(Zip)

2. Transaction Date 2A. Deemed

03/04/2014

11465 JOHNS CREEK PARKWAY, SUITE 400

(State)

JOHNS CREEK, GA 30097

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities

(Month/Day/Year) Execution Date, if (Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code (Instr. 8)

TransactionAcquired (A) or Disposed of (D)

(Instr. 3, 4 and 5)

(A)

or

(D)

Price

Securities Beneficially Owned Following Reported

Issuer

below)

Person

Director

Applicable Line)

X\_ Officer (give title

5. Amount of

Indirect (I) (Instr. 4)

(D) or

D

Form: Direct

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP Marketing/Customer Service

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

Indirect Beneficial Ownership (Instr. 4)

SEC 1474

(9-02)

6. Ownership 7. Nature of

Transaction(s)

(Instr. 3 and 4)

13,693.25

Common Stock

(City)

1.Title of

Security

(Instr. 3)

(e.g., puts, calls, warrants, options, convertible securities)

Code V Amount

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

10% Owner

Other (specify

1

#### Edgar Filing: SAIA INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Phantom Stock	<u>(1)</u>	03/04/2014		I	889.223		(2)	<u>(3)</u>	Common Stock	889.22
Stock Options (Right to Buy)	\$ 10.9267						05/02/2014	05/01/2018	Common Stock	8,490
Stock Options (Right to Buy)	\$ 11						02/02/2015	02/02/2019	Common Stock	10,425
Stock Options (Right to Buy)	\$ 27.28						04/30/2016	04/29/2020	Common Stock	8,745
Stock Options (Right to Buy)	\$ 31.5						02/04/2017	02/03/2021	Common Stock	6,040

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

BUCHHOLZ SALLY R 11465 JOHNS CREEK PARKWAY, SUITE 400 JOHNS CREEK, GA 30097

VP Marketing/Customer Service

# **Signatures**

/s/ Stephanie R. 03/06/2014 Maschmeier

\*\*Signature of Reporting Date
Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

### Edgar Filing: SAIA INC - Form 4

The conversion rate of this derivative security on March 4, 2014 is 1.2168 resulting in 10,137.26 shares of common stock (underlying security in column 7).

- (2) Immediate
- (3) The shares of phantom stock become payable, in stock, upon reporting person's termination of service as an employee, in accordance with the terms of the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.