CARNIVAL PLC Form 4 January 16, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287 January 31,

Expires:

Estimated average

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **DINGLE DAVID** Issuer Symbol CARNIVAL PLC [CUK] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify CARNIVAL CORPORATION, 3655 01/14/2014 below) NW 87TH AVE CEO, Carnival UK (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **MIAMI, FL 33178** Person (City) (State) (7:-

| (City)             | (State)             | Tabl               | le I - Non-Der | rivative Sec       | curities Acq   | uired, Disposed o | f, or Beneficiall | ly Owned     |
|--------------------|---------------------|--------------------|----------------|--------------------|----------------|-------------------|-------------------|--------------|
| 1.Title of         | 2. Transaction Date | 2A. Deemed         | 3.             | 4. Securiti        | es Acquirec    | 5. Amount of      | 6.                | 7. Nature of |
| Security           | (Month/Day/Year)    | Execution Date, if | Transaction    | (A) or Disposed of |                | Securities        | Ownership         | Indirect     |
| (Instr. 3)         |                     | any                | Code           | (D)                |                | Beneficially      | Form: Direct      | Beneficial   |
|                    |                     | (Month/Day/Year)   | (Instr. 8)     | (Instr. 3, 4       | and 5)         | Owned             | (D) or            | Ownership    |
|                    |                     |                    |                |                    |                | Following         | Indirect (I)      | (Instr. 4)   |
|                    |                     |                    |                |                    | (1)            | Reported          | (Instr. 4)        |              |
|                    |                     |                    |                |                    | (A)            | Transaction(s)    |                   |              |
|                    |                     |                    | Code V         | Amount             | or<br>(D) Pric | (Instr. 3 and 4)  |                   |              |
| Ordinary<br>Shares | 01/14/2014          |                    | A(1)(2)        | 15,486<br>(3)      | A \$0          | 65,873            | D                 |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: CARNIVAL PLC - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer        | cisable and     | 7. Title a       | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|-----------------|------------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D        | ate             | Amount           | of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day          | Year)           | Underlyi         | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                   |                 | Securitie        | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                     |                 | (Instr. 3 and 4) | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                     |                 |                  |        |             | Follo  |
|             |             |                     |                    |            | (A) or     |                     |                 |                  |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |                     |                 |                  |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |                     |                 |                  |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                     |                 |                  |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |                     |                 |                  |        |             |        |
|             |             |                     |                    |            |            |                     |                 | ٨                | mount  |             |        |
|             |             |                     |                    |            |            |                     |                 |                  |        |             |        |
|             |             |                     |                    |            |            | Date<br>Exercisable | Expiration Date | or<br>Title No   | umber  |             |        |
|             |             |                     |                    |            |            |                     |                 |                  |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                     |                 |                  | hares  |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

**DINGLE DAVID** CARNIVAL CORPORATION 3655 NW 87TH AVE **MIAMI, FL 33178** 

CEO, Carnival UK

# **Signatures**

/s/ David Dingle 01/16/2014 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award made pursuant to the Carnival plc 2005 Employee Share Plan.
- Award of restricted stock units which represents a hypothetical interest in Carnival plc ordinary shares, which will vest on the third anniversary of the grant date. The restricted stock units will accumulate dividend equivalents and may only be settled in shares.

The grant was approved by the Compensation Committee as a total value to be received in the form of restricted stock units. The

(3) Compensation Committee also approved that the number of shares was to be determined by taking the value and dividing by the closing

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2