WILLIAMS CLARA R

Form 4

January 03, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * WILLIAMS CLARA R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction

(Check all applicable)

(First) NACCO INDUSTRIES, INC., 5875

(Month/Day/Year) 01/01/2013

Director 10% Owner Officer (give title __X_ Other (specify

LANDERBROOK DRIVE, STE. 300

(Street)

(Middle)

(Zip)

below) below) Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

MAYFIELD HEIGHTS, OH 44124

(State)

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |
|--|
|--|

| | | Table 1 - Ivon-Derivative Securities Acquired, Disposed vi, vi Denericiany Owned | | | | | | | | | | |
|--------------------------------------|---|--|--|---|--|-----------|-------|--|--|---|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | | 4. Securities Acq on(A) or Disposed o (D) (Instr. 3, 4 and 5) | | of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code | V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Class A Common Stock | 06/22/2012 | | G | | 6,250 | A | \$ 0 | 45,412 | I | By Trust (1) | | |
| Class A Common Stock | 06/22/2012 | | G | V | 11,008 | A | \$ 0 | 11,008 | I | by RAIV (A) | | |
| Class A Common Stock | 09/13/2012 | | G | V | 378 | A | \$ 0 | 7,804 | I | By Assoc II/Daughter (2) | | |
| Class A | 09/13/2012 | | G | V | 378 | A | \$0 | 9,179 | I | Ву | | |

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| Common Stock | | | | | | | | | AssocII/Daughter 2 (2) |
|----------------------------|------------|------|---|-----|---|-----|--------|---|--------------------------|
| Class A Common Stock | 09/13/2012 | G | V | 235 | A | \$0 | 2,332 | I | By Spouse (3) |
| Class A Common Stock | 09/13/2012 | G | V | 189 | A | \$0 | 12,257 | I | By Assoc II (4) |
| Class A Common Stock | 09/14/2012 | G | V | 229 | A | \$0 | 45,641 | I | By Trust (1) |
| Class A Common Stock | 01/01/2013 | A(5) | | 320 | A | \$0 | 2,652 | I | By Spouse (3) |
| Class A Common Stock | | | | | | | 6,537 | I | By AssocII/Spouse (6) |
| Class A Common Stock | | | | | | | 722 | I | By Trust/Child2 |
| Class A Common Stock | | | | | | | 2,097 | I | Trust/Child1 (7) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | | 5. Numbe | r of | 6. Date Exerc | cisable and | 7. Title and A | Amount of | 8. P |
|-------------|-------------|---------------------|--------------------|-----------------------|----|-----------|-------|------------------|-----------------|------------------|-----------------------|------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionDerivative | | | | Expiration D | Expiration Date | | Underlying Securities | |
| Security | or Exercise | | any | Code Securities | | | | (Month/Day/Year) | | (Instr. 3 and 4) | | Sec |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. | 8) | Acquired | (A) | | | | | (Ins |
| | Derivative | | | | | or Dispos | ed of | | | | | |
| | Security | | | | | (D) | | | | | | |
| | | | | (Instr. 3, 4, | | | | | | | | |
| | | | | | | and 5) | | | | | | |
| | | | | | | | | | | | Amount | |
| | | | | | | | | Date | Expiration | | or | |
| | | | | | | | | Exercisable | Date | Title | Number | |
| | | | | Code | V | (A) | (D) | Exercisable | Date | | of Shares | |
| | | | | Couc | • | (A) | (D) | | | | of Shares | |
| Class B | | | | | | | | | | Class A | | |
| Common | <u>(8)</u> | 06/22/2012 | | G | V | 30,818 | | (8) | (8) | Common | 30,818 | |
| | <u></u> | 00/22/2012 | | G | • | 50,010 | | | | | 30,010 | |
| Stock | | | | | | | | | | Stock | | |

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 Class B
 Common
 (8)
 06/22/2012
 G V 20,000
 (8)
 (8)
 Common 20,000

 Stock
 Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WILLIAMS CLARA R NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124

Member of a group

Signatures

/s/Suzanne S. Taylor, attorney-in-fact

01/03/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by trust for the benefit of Reporting Person.
- (2) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (3) By Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's proportionate limited partnership interest in shares held by rankin Associates II, L.P.
- (5) Spouse's shares of Class A Common Stock awarded to the Reporting Person's spouse as "Required Shares" under the company's Non-Employee Directors' Equity Compensation Plan
- (6) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Held by Trust, Reporting Person's spouse is Trustee, for the benefit of Reporting Person's minor child. Reporting Person disclaims beneficial ownership of all such shares.
- (8) N/A
- (9) RAI-Represents Reporting Person's limited partnership interest in shares held by Rankin Associates I, L.P.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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