**MERITOR INC** Form 4

November 04, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Indirect

Beneficial

Ownership

(Instr. 4)

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

burden hours per

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Plomin Joseph A.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MERITOR INC [MTOR] 3. Date of Earliest Transaction

(Check all applicable)

(First) C/O MERITOR, INC., 2135 WEST

(Month/Day/Year)

(Month/Day/Year)

(Middle)

(Month/Day/Year) Execution Date, if

Director 10% Owner X\_ Officer (give title Other (specify

11/02/2016

below) below)

MAPLE ROAD

(Street)

4. If Amendment, Date Original

Pres., International 6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

TROY, MI 48084-7186

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Indirect (I) Owned Following (Instr. 4)

D

Reported Transaction(s)

(A) or (Instr. 3 and 4) Code V Amount (D) Price

Common Stock

52,852

Security

(Instr. 3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: MERITOR INC - Form 4

De Sec (In

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8<br>]<br>( |
|---|---|--------------------------------------|---|--|--|--|--------------------|---|-------------------------------------|-------------|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |             |
| Restricted<br>Share<br>Units                        | \$ 0  | 11/02/2016                           |   | A                                      | 34,975   | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock   | 34,975                              |             |
| Restricted<br>Share<br>Units                        | \$ 0  | 11/02/2016                           |   | A                                      | 2,538  | (2)  | (2)                | Common<br>Stock   | 2,538                               |             |
| Restricted<br>Share<br>Units                        | \$ 0  |                                      |   |  |  | <u>(3)</u>   | (3)                | Common<br>Stock   | 20,361                              |             |
| Restricted<br>Share<br>Units                        | \$ 0  |                                      |   |  |  | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 21,004                              |             |
| Restricted<br>Share<br>Units                        | \$ 0  |                                      |   |  |  | <u>(5)</u>   | <u>(5)</u>         | Common<br>Stock   | 15,000                              |             |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                      |       |  |  |  |
|--------------------------------|---------------|-----------|----------------------|-------|--|--|--|
| <b>FB</b>                      | Director      | 10% Owner | Officer              | Other |  |  |  |
| Plomin Joseph A.               |               |           |                      |       |  |  |  |
| C/O MERITOR, INC.              |               |           | Pres., International |       |  |  |  |
| 2135 WEST MAPLE ROAD           |               |           | Ties., international |       |  |  |  |
| TROY, MI 48084-7186            |               |           |                      |       |  |  |  |

## **Signatures**

/s/ Joseph A. Plomin, By: April Miller Boise, Attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of Restricted Share Units ("RSUs") as equity compensation following satisfaction of the performance criteria applicable thereto. Each RSU represents the right to receive one share of common stock of Meritor, Inc. (the "Company") or its cash equivalent upon the vesting date, which occurs at the earlier of December 1, 2016 or upon termination of employment with the Company under certain

Reporting Owners 2

## Edgar Filing: MERITOR INC - Form 4

circumstances.

- Acquisition of RSUs as equity compensation following satisfaction of the performance criteria applicable thereto. Each RSU represents (2) the right to receive one share of common stock of the Company or its cash equivalent upon the vesting date, which occurs at the earlier of February 1, 2017 or upon termination of employment with the Company under certain circumstances.
- The date of grant of the RSUs was December 1, 2015. Each RSU represents the right to receive one share of common stock of the (3) Company or its cash equivalent upon the vesting date, which occurs at the earlier of three years from the date of grant or upon termination of employment with the Company under certain circumstances.
- (4) The date of grant of the RSUs was December 1, 2014. Each RSU represents the right to receive one share of common stock of the Company or its cash equivalent upon the vesting date, subject to the terms and conditions described in footnote 3 above.
- (5) The date of grant of the RSUs was December 1, 2013. Each RSU represents the right to receive one share of common stock of the Company or its cash equivalent upon the vesting date, subject to the terms and conditions described in footnote 3 above.

### **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.