Edgar Filing: Hill Thomas W. - Form 4

Hill Thomas W. Form 4 March 04, 2019 FORM 4 March 04, 2019 TOMB APPROVAL MITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer Section 16. Form 4 or Section 17(a) of the Public Utility Holding Company Act of 1934, 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1934, 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Check this box if no longer Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) Of the Public Utility Holding Company Act of 1940 Section 17(a) Of the Public Utility Holding Company Act of 1940 Section 17(a) Section 17(a) Of the Public Utility Holding Company Act of 1940 Section 17(a) Section 17										
(Print or Type Res	esponses)									
Hill Thomas W. Symbol			Issuer Name and nbol mmit Material		-		5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. Date of			Date of Earliest Tr onth/Day/Year)	_]		(Check all applicable) <u>X</u> Director <u>X</u> Officer (give title <u>10%</u> Owner below) President & CEO			
	f Amendment, Da ed(Month/Day/Year	-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
DENVER, CO 80202							Person		porting	
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
	2. Transaction Date (Month/Day/Year)		Code	on(A) or Di (D) (Instr. 3, 4	sposed 4 and 5 (A) or	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Class A Common (Stock	02/28/2019		М	10,252	А	<u>(1)</u>	156,640	D		
Class A Common (Stock	02/28/2019		М	17,252	A	<u>(1)</u>	173,892	D		
Class A Common (Stock	02/28/2019		F	6,698	D	\$ 17	167,194	D		

Common
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
Restricted Stock Units	<u>(3)</u>	02/28/2019		М	()	10,252	(4)	(4)	Class A Common Stock	10,2
Restricted Stock Units	<u>(3)</u>	02/28/2019		М		17,252	(5)	(5)	Class A Common Stock	17,2
Restricted Stock Units	<u>(3)</u>	02/28/2019		А	105,537		(6)	(6)	Class A Common Stock	105,5

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hill Thomas W. C/O SUMMIT MATERIALS, INC. 1550 WYNKOOP STREET, 3RD FLOOR DENVER, CO 80202	Х		President & CEO				
Signatures							
/s/Anne Lee Benedict, as Attorney-in-Fact	03/04	/2019					
**Signature of Reporting Person	Dat	e					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects restricted stock units that upon vesting converted into shares of Class A common stock of the Issuer ("Class A Common Stock") on a one-for-one basis.
- (2) Reflects securities held by a trust for the benefit of Mr. Hill's family, for which Mr. Hill's spouse serves as trustee.
- Each restricted stock unit represents a contingent right to receive one share of Issuer Class A Common Stock. The restricted stock units(3) will be settled in either Class A Common Stock or cash (or a combination thereof) at the discretion of the Issuer's compensation committee.
- (4) On February 28, 2017, the Reporting Person was granted 30,757 restricted stock units that vest in three equal annual installments beginning on February 28, 2018.
- On February 28, 2018, the Reporting Person was granted 51,757 restricted stock units that vest in three equal annual installments beginning on February 28, 2019.
- (6) These restricted stock units vest in three equal annual installments beginning on February 28, 2020.

Remarks:

The Reporting Person disclaims beneficial ownership of the securities reported herein as indirectly beneficially owned, except

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.