

VODAFONE GROUP PUBLIC LTD CO  
Form SD  
May 31, 2018

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM SD**

**SPECIALIZED DISCLOSURE REPORT**

**VODAFONE GROUP PUBLIC LIMITED COMPANY**

(Exact name of registrant as specified in its charter)

**England**  
(State or other jurisdiction of  
incorporation or organization)

**001-10086**  
Commission file number

**None**  
(IRS Employer Identification No.)

**Vodafone House, The Connection, Newbury, Berkshire RG14 2FN, England**

(Address of principal executive offices)

**Rosemary Martin (Group General Counsel and Company Secretary)**

**tel +44 (0) 1635 33251**

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

☒ Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2017.

## Introduction

Vodafone ( **Vodafone** or the **Company** ) is one of the world's largest telecommunications companies and provides a range of services including voice, messaging, data and fixed communications. Vodafone has mobile operations in 25 countries, partners with mobile networks in 46 more and fixed broadband operations in 18 markets. As of 31 March 2018, Vodafone had 535.8 million mobile customers and 19.7 million fixed broadband customers, including India and all of the customers in Vodafone's joint ventures and associates. Vodafone generated revenues of 46.6 billion in the financial year ended 31 March 2018. Vodafone's American Depositary Shares are listed on the NASDAQ Stock Market LLC ( **NASDAQ** ). The Company is subject to the NASDAQ Listing Rules and files reports with the U.S. Securities and Exchange Commission (the **SEC** ) under Section 13(a) of the U.S. Securities Exchange Act of 1934 (the **Exchange Act** ).

Vodafone uses electronic equipment in its operations and sells products, such as mobile phones, tablets, SIM cards, broadband routers, TV set-top boxes and Internet of Things devices, to customers. In addition, the Company's Vodafone Automotive business sells products such as anti-theft, parking assistance and telematics systems to vehicle manufacturers. Vodafone Automotive includes a small technology device manufacturing operation based in Italy and many of the products sold by this part of the business are manufactured by Vodafone.

The majority of the products sold by Vodafone are not manufactured by the Company but are purchased from suppliers. In some cases, Vodafone contracts to manufacture products from suppliers. Vodafone does not directly own or operate large factories or other production facilities with the exception of the Vodafone Automotive plant in Italy.

Electronic products contain numerous components that may contain cassiterite, columbite-tantalite (coltan), wolframite and/or gold, and the metals derived from these minerals tin, tantalum, tungsten and gold, respectively (each, a 3TG metal). These metals come from many different smelters and refiners. Both the smelters and refiners, and the mines from which minerals are originally sourced, are many steps away from Vodafone in the supply chain. As a user of these necessary conflict minerals (as such term is defined in SEC Form SD) in its manufactured products, Vodafone is subject to certain reporting requirements under the Rule.

Vodafone's policy with respect to the sourcing of conflict minerals can be found at:  
[http://www.vodafone.com/content/dam/sustainability/pdfs/vodafone\\_conflict\\_minerals\\_policy.pdf](http://www.vodafone.com/content/dam/sustainability/pdfs/vodafone_conflict_minerals_policy.pdf).

## Section 1 - Conflict Minerals Disclosures

In accordance with its policy, Vodafone has concluded that, during the 2017 calendar year, it has manufactured and contracted to manufacture products containing conflict minerals and has determined that the use of these minerals is necessary to the functionality or production of these products.

In accordance with the Rule, Vodafone carried out a reasonable country of origin inquiry ( **RCOI** ) and due diligence process to determine the origin of 3TG metals used in its in-scope products (as defined below).

Vodafone conducted an analysis of procurement and manufacturing activities to identify (i) product categories likely to include products that contain 3TG metals and (ii) whether such products were likely to be ones that Vodafone contracts to manufacture (or, in the case of Vodafone Automotive products, manufactures) and are therefore covered by the Rule ( **in-scope products** ).

Vodafone identified seven types of product categories which it considered likely to include in-scope products: (i) selected handsets purchased from Original Design Manufacturers; (ii) connected home devices (i.e. routers, modems, set-top boxes and femtocells); (iii) datacards (i.e. mobile broadband dongles); (iv) Internet of Things (IoT) tracker devices; (v) vehicle antitheft systems, such as alarm sirens and intrusion sensors; (vi) parking assistance products, such as sensors and electronic units that assist drivers in parking their vehicles; and (vii) telematic control units for vehicles, such as tracking systems based on GSM and GPS technologies.

Through this applicability assessment, Vodafone identified 110 in-scope products and product types<sup>1</sup> that were purchased or technically accepted (the point at which Vodafone operating companies can begin ordering products from suppliers and selling them on to customers) by the Company during the year ended 31 December 2017. The Company sourced in-scope products, or components for in-scope products, from 104 suppliers ( in-scope suppliers ) in the year ended 31 December 2017.

These in-scope suppliers were surveyed using the Responsible Minerals Initiative Conflict Minerals Reporting Template (the **CMRT** ). Through the RCOI described above, all of the 104 suppliers of in-scope products have provided responses to the CMRT.

However, as a result of the incompleteness of suppliers' responses received so far through the Company's on-going due diligence program, Vodafone is unable to determine that conflict minerals contained in in-scope products did not originate in covered countries (as defined in Form SD) and are not from recycled or scrap sources. Details of the due diligence activities performed are provided in the Conflict Minerals Report provided as an exhibit to this Form SD.

In accordance with the Rule, this Form SD and the associated Conflict Minerals Report have been made publically available. See Vodafone's Group website:

[http://www.vodafone.com/content/index/investors/investor\\_information/press\\_regulatory/sec\\_filings.html](http://www.vodafone.com/content/index/investors/investor_information/press_regulatory/sec_filings.html)

<sup>1</sup> Products with small variations (colour, software, etc.) have been counted as one product type. All of the components of those products have been included within the conflict minerals due diligence.

## **Section 2 - Exhibits**

Exhibit 1.01 - Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD.

**Signature**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

**Vodafone Group Public Limited Company**  
(Registrant)

/s/ Serpil Timuray

Name: Serpil Timuray

Title: Group Chief Commercial Operations & Strategy Officer

Date: 30th May 2018