Summit Midstream Partners, LP Form 4

March 02, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: Estimated average

Number:

OMB

5 Relationship of Reporting Person(s) to

burden hours per response...

**OMB APPROVAL** 

3235-0287

2005

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Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

INTERESTS)

03/01/2016

(Print or Type Responses)

1 Name and Address of Reporting Person \*

ENERGY CAPITAL PARTNERS II,			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer					
LLC			*	Summit Midstream Partners, LP				(Check all applicable)					
(Last)	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year)				_X_ Director _X_ 10% Owner Officer (give title _X_ Other (specify					
51 JOHN F. KENNEDY PARKWAY, SUITE 1250				02/29/2016				below) See Footnotes					
	(Street) 4. If Amer			ment, Date Original 6				6. Individual or Joint/Group Filing(Check					
	Filed(Month/Day/Year)				Applicable Line) Form filed by One Reporting Person								
SHORT HILLS, NJ 07078								_X_ Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table I	- Non-Der	ivative Secu	rities A	Acquired	, Disposed of, or	Beneficially	Owned			
1.Title of Security (Instr. 3)	2. Transactic (Month/Day)	Year) Exc any	ecution Date, if	3. 4. Securities Acquired Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		))	(A) 5. Amount of 6. 7. Nature of Securities Ownership Indirect Beneficially Form: Ownership Owned Direct (D) Following or Indirect Reported (I)						
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)				
COMMON UNITS (LIMITED PARTNER INTERESTS)	02/29/201	6		P	153,465 (1)	A	\$ 13.12 (2) (3)	2,998,857	I <u>(4)</u>	BY: SMLP HOLDINGS, LLC			
COMMON UNITS (LIMITED PARTNER	03/01/201	6		P	25,652 (1)	A	\$ 12.66 (3) (5)	3,024,509	I (4)	BY: SMLP HOLDINGS, LLC			

P

A

I (4)

3,134,885

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COMMON UNITS (LIMITED PARTNER INTERESTS)			110,376	\$ 13.6 (3) (6)			BY: SMLP HOLDINGS, LLC
COMMON UNITS (LIMITED PARTNER INTERESTS)	03/01/2016	P	1,000 (1) A	\$ 14	3,135,885	I (4)	BY: SMLP HOLDINGS, LLC
COMMON UNITS (LIMITED PARTNER INTERESTS)	03/02/2016	P	150,677 A	\$ 13.99 (3) (7)	3,286,562	I (4)	BY: SMLP HOLDINGS, LLC
COMMON UNITS (LIMITED PARTNER INTERESTS)					29,703,421	I (8)	BY: SUMMIT MIDSTREAM PARTNERS HOLDINGS, LLC
COMMON UNITS (LIMITED PARTNER INTERESTS)					151,160	I (8)	BY: SUMMIT MIDSTREAM PARTNERS, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	<b>:</b>	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code V	4, and 5) (A) (D)	Date	Expiration	Title Amount	
				, , , , , , , , , , , , , , , , , , ,	(11)	Exercisable	Date	or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

Date

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b></b>	Director	10% Owner	Officer	Other			
ENERGY CAPITAL PARTNERS II, LLC 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078	X	X		See Footnotes			
ENERGY CAPITAL PARTNERS II, LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078		X					
ENERGY CAPITAL PARTNERS II-A, LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078		X					
ENERGY CAPITAL PARTNERS II-B IP, LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078		X					
ENERGY CAPITAL PARTNERS II-C (SUMMIT IP), LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078		X					
Energy Capital Partners II (Summit Co-Invest), LP 51 JOHN F. KENNEDY PARKWAY SUITE 1250 SHORT HILLS, NJ 07078		X					
Signatures							
Energy Capital Partners II, LP By: Energy Capital Partners Energy Capital Partners II, LLC Its: General Partner By: /s/							
**Signature of Reporting Person				Date			
Energy Capital Partners II-A, LP By: Energy Capital Partner By: Energy Capital Partners II, LLC Its: General Partner By Counsel				03/02/2016			
**Signature of Reporting Person				Date			
Energy Capital Partners II-B IP, LP By: Energy Capital Par Partner By: Energy Capital Partners II, LLC Its: General Pa Title: Counsel	03/02/2016						

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\*\*Signature of Reporting Person

Energy Capital Partners II-C (Summit IP), LP By: Energy Capital Partners GP II, LP Its:

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General Partner By: Energy Capital Partners II, LLC Its: General Partner By: /s/ Enoch O.

Varner Title: Counsel

\*\*Signature of Reporting Person

Date

03/02/2016

Energy Capital Partners II (Summit Co-Invest), LP By: Energy Capital Partners GP II Co-Investment (Summit), LLC Its: General Partner By: Energy Capital Partners II, LLC Its: Managing Member By: /s/ Enoch O. Varner Title: Counsel

03/02/2016

\*\*Signature of Reporting Person

Date

Energy Capital Partners II, LLC By: /s/ Enoch O. Varner Title: Counsel

03/02/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units were purchased pursuant to a 10b5-1 plan entered into on December 15, 2015.
- (2) These common units were purchased in multiple transactions ranging from \$12.82 to \$13.53, inclusive.
- The price reported in Column 4 is a weighted average price. The Reporting Persons undertake to provide to the Issuer, any security holder (3) of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of common units purchased at each separate price within the ranges set forth in footnotes 2, 5, 6, 7 and 8.
  - Energy Capital Partners II, LP ("ECP II") and certain of its parallel funds (collectively, the "SMLP Holdings Owners") collectively hold all of the membership interests in SMLP Holdings, LLC ("SMLP Holdings"). Energy Capital Partners II, LLC ("ECP") indirectly controls
- (4) the SMLP Holdings Owners. Accordingly, ECP and the SMLP Holdings Owners may be deemed to indirectly beneficially own the 3,286,562 common units held by SMLP Holdings but disclaim beneficial ownership except to the extent of their pecuniary interest therein.
- (5) These common units were purchased in multiple transactions ranging from \$12.15 to \$12.99, inclusive.
- (6) These common units were purchased in multiple transactions ranging from \$13.00 to \$13.99, inclusive.
- (7) These common units were purchased in multiple transactions ranging from \$13.52 to \$14.22, inclusive.
  - ECP indirectly controls ECP II, Energy Capital Partners II-A, LP ("ECP II-A"), Energy Capital Partners II-B IP, LP ("ECP II-B"), Energy Capital Partners II-C (Summit IP), LP ("ECP II-C") and Energy Capital Partners II (Summit Co-Invest), LP ("ECP Summit Co-Invest" and together with ECP II, ECP II-A, ECP II-B and ECP II-C, the "ECP Funds"), which collectively hold more than a majority of the
- (8) membership interests in Summit Midstream Partners, LLC ("Summit") and are entitled to appoint all the directors of Summit. Summit is the sole member of Summit Midstream Partners Holdings, LLC ("SMPH") and may be deemed to have indirect beneficial ownership of the 29,703,421 common units held by SMPH in addition to the 151,160 common units held directly. Accordingly, ECP and the ECP Funds may be deemed to indirectly beneficially own the 29,854,581 common units held by Summit and SMPH but disclaim beneficial ownership except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4