

Edgar Filing: NEOTHERAPEUTICS INC - Form 8-K

NEOTHERAPEUTICS INC
Form 8-K
August 15, 2001

1

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES AND EXCHANGE ACT OF 1934

August 14, 2001

Date of Report (Date of earliest event reported)

NEOTHERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

DELAWARE	000-28782	93-0979187
----- (State or other Jurisdiction of Incorporation)	----- (Commission File Number)	----- (IRS Employer Identification Number)

157 TECHNOLOGY DRIVE IRVINE, CALIFORNIA	92618
----- (Address of principal executive offices)	----- (Zip Code)

(949) 788-6700
(Registrant's telephone number, including area code)

N/A
(Former Name or Former Address, if Changed Since Last Report)

2

ITEM 5. OTHER EVENTS

On August 14, 2001, NeoTherapeutics, Inc. ("NeoTherapeutics") issued 600,000 shares of common stock to an institutional investor for aggregate consideration of \$2,010,000. The shares were issued pursuant to an effective Registration Statement on Form S-3. The negotiated purchase price per share was \$3.35. The foregoing description is qualified in its entirety by reference to the Stock Purchase Agreement, dated as of August 14, 2001, by and between the Registrant and Summit Capital Management LLC, a copy of which is attached hereto as Exhibit 10.1.

ITEM 7. EXHIBITS

Edgar Filing: NEOTHERAPEUTICS INC - Form 8-K

Exhibits:

10.1 Stock Purchase Agreement dated as of August 14, 2001, by and between the Registrant and Summit Capital Management LLC.

2

3

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NEOTHERAPEUTICS, INC.

Date: August 14, 2001

By: /s/ Samuel Gulko

Name: Samuel Gulko

Title: Chief Financial Officer

3

4

EXHIBIT INDEX

Exhibits:

10.1 Stock Purchase Agreement dated as of August 14, 2001, by and between the Registrant and Summit Capital Management LLC.