#### VOLTOLINA FRANK A

Form 4/A

November 03, 2011

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 333

**OMB APPROVAL** 

Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

See Instruction 30(h) of the Investment Co

Symbol

1(b).

(Print or Type Responses)

VOLTOLINA FRANK A

1. Name and Address of Reporting Person \*

			JOHNSON CONTROLS INC [JCI]			JCI]	(Check all applicable)				
(Last) 5757 N. GF AVENUE,	3. Date of Earliest Transaction (Month/Day/Year) 11/02/2011					- - t	Director 10% Owner X Officer (give title Other (specify below) below)  Vice President and Treasurer				
(Street)  4. If Amendment, Date Original Filed(Month/Day/Year) 11/03/2011  MILWAUKEE, WI 53201-0591  (City) (State) (Zip)  Table I. Non Devivotive Sequeities According to the control of						- - I	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  uired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	ate 2A. Deen Execution any	ned	3.	etic	4. Securities of Disposed (Instr. 3, 4)	s Acq	uired (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/02/2011			M	•	116.118	A	\$ 31.75	50,465.76 <u>(1)</u> <u>(2)</u>	D	
Common Stock	11/02/2011			D		116.118	D	\$ 31.75 (3)	50,349.642 (2)	D	
Common Stock	11/02/2011			F		1,112	D	\$ 31.75	49,237.64 (2)	D	
Common Stock									6,674.825 (4)	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Code Securities Ex		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sh
Phantom Stock Units - Restricted Stock	<u>(5)</u>	11/02/2010		M		116.118	<u>(6)</u>	<u>(6)</u>	Common Stock	
Phantom Stock Units - Long-Term Incentive Plan	<u>(5)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	5
Phantom Stock Units - Retirement Restoration Plan	<u>(5)</u>						(8)	<u>(8)</u>	Common Stock	12
Employee Stock Option (Right to Buy)	\$ 20.5633						11/17/2006	11/17/2014	Common Stock	
Employee Stock Option (Right to Buy)	\$ 22.5617						11/16/2007	11/16/2015	Common Stock	
Employee Stock Option (Right to Buy)	\$ 23.965						10/02/2008	10/02/2016	Common Stock	

Employee Stock Option (Right to Buy)	\$ 40.21	10/01/2009	10/01/2017	Common Stock
Employee Stock Option (Right to Buy)	\$ 28.79	10/01/2010	10/01/2018	Common Stock
Employee Stock Option (Right to Buy)	\$ 24.87	10/01/2011 <sup>(9)</sup>	10/01/2019	Common Stock
Employee Stock Option (Right to Buy)	\$ 30.54	10/01/2012 <u>(9)</u>	10/01/2020	Common Stock
Employee Stock Option (Right to Buy)	\$ 28.54	10/07/2013 <u>(9)</u>	10/07/2021	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
VOLTOLINA FRANK A 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Vice President and Treasurer				

# **Signatures**

Angela M. Blair, Attorney-in-fact for Frank A.
Voltolina

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Due to a processing error by our transfer agent, the number of dividends reinvested on October 4, 2011, was adjusted. This resulted in a decrease of 0.078 share.

**(2)** 

Reporting Owners 3

Includes the following previously granted shares of restricted stock which vest as follows: 3,000 on November 1, 2012, 3,250 on November 4, 2013; and 3,000 on November 3, 2014, all subject to continuous employment with the issuer.

- (3) In the Form 4 filed earlier on November 3, the price was erroneously reported as \$1. It is corrected via this filing.
- The number of underlying securities is based on the stock fund balance on October 31, 2011. The actual number of shares issuable upon
- (4) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an October 31, 2011, stock fund price of \$32.93 per share.
- (5) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- The units representing (a) vested, deferred restricted stock awards and dividends which relate to the awards, both of which will be settled 100% in cash upon retirement and may be transferred into an alternative investment account, (b) dividends which relate to unvested, non-deferred restricted stock awards, which will be settled 100% in cash upon vesting of the award and (c) unvested, deferred restricted stock awards and dividends which relate to awards, both of which will be settled 100% in cash upon retirement.
- (7) The phantom stock units were accrued under the Johnson Controls Long Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (9) Fifty percent of the options vest after two years and the remaining 50% vests after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.