Puri Ajay K Form 4 May 17, 2018

## FORM 4

Form 5

1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Puri Ajay K

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

below)

(Last) (First) (Middle) NVIDIA CORP [NVDA]

03/22/2013

3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ Officer (give title

10% Owner Other (specify

(Check all applicable)

EVP, Worldwide Field Ops

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

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response...

Estimated average

burden hours per

C/O NVIDIA **CORPORATION. 2788 SAN** TOMAS EXPRESSWAY

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SANTA CLARA, CA 95051

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative S	ecurit	ies Acquired	d, Disposed of, or I	Beneficially C	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or forDisposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/22/2013		Code V P	Amount 150.2172 (1)	(D)	Price \$ 12.429	508,962.2172	D	
Common Stock	06/17/2013		P	128.8639 (1)	A	\$ 14.576	509,091.0811	D	
Common Stock	09/16/2013		P	119.2886 (1)	A	\$ 15.827	509,210.3697	D	
Common Stock	12/16/2013		P	141.8388 (1)	A	\$ 15.157	509,352.2085	D	
Common Stock	03/21/2014		P	114.8242 (1)	A	\$ 18.828	509,467.0327	D	

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Common Stock	06/16/2014	P	111.265 (1)	A	\$ 19.518	509,578.2977	D	
Common Stock	09/15/2014	P	115.4404 (1)	A	\$ 18.894	509,693.7381	D	
Common Stock	12/16/2014	P	112.0185 (1)	A	\$ 19.5587	509,805.7566	D	
Common Stock	03/20/2015	P	94.3229 (1)	A	\$ 23.329	509,900.0795	D	
Common Stock	06/15/2015	P	121.3325 (1)	A	\$ 20.8785	510,021.412	D	
Common Stock	09/14/2015	P	112.5992 (1)	A	\$ 22.6029	510,134.0112	D	
Common Stock	12/15/2015	P	90.9858 (1)	A	\$ 33.1352	510,224.997	D	
Common Stock	03/16/2016	S	26,300	D	\$ 32.926 (2)	335,892 (3)	D	
Common	05/15/2018	S	38,676	D	\$ 255.36 (4)	109,356 (5)	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration Da	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date	Expiration	TP:41	or	
						Exercisable	Date	Title	Number	
				C 1 1	(A) (D)				of	
				Code V	$^{\prime}$ (A) (D)				Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Puri Ajay K C/O NVIDIA CORPORATION 2788 SAN TOMAS EXPRESSWAY SANTA CLARA, CA 95051

EVP, Worldwide Field Ops

### **Signatures**

/s/ Rebecca Peters, Attorney-in-Fact for Ajay K. Puri

05/17/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares acquired by Mr. Puri upon automatic reinvestment of cash dividends from the Issuer through his brokerage account. The Issuer does not sponsor a dividend reinvestment plan.
- Represents weighted average sales price. The shares were sold at prices ranging from \$32.55 to \$33.18. The Reporting Person will (2) provide upon request, to the SEC, the Issuer or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
- (3) Fractional amounts have been rounded down to the nearest whole number.
- The Reporting Person contributed shares of the common stock of NVIDIA Corporation (the "Common Stock") to an exchange fund in exchange for shares of the exchange fund. The Common Stock was valued at \$255.36 per share for the purpose of determining the
- (4) exchange for shares of the exchange fund. The Common Stock was valued at \$255.36 per share for the purpose of determining the number of shares of the exchange fund issuable to the Reporting Person.
- (5) On April 13, 2018 148,032 shares were transferred to the Ajay K Puri Revocable Trust dtd 12/10/2015 (the "Trust").
- (6) The shares are held by the Trust of which the Reporting Person is a trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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