Edgar Filing: RAWLEY CHARLES E III - Form 4

RAWLEY CHARLES E III Form 4 November 09, 2005 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **RAWLEY CHARLES E III** Issuer Symbol YUM BRANDS INC [YUM] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_Officer (give title Other (specify 1441 GARDINER LANE 11/08/2005 below) below) CDO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LOUISVILLE, KY 40213 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3, 4 and 5) (Instr. 3) any Code Beneficially (D) or Beneficial Indirect (I) (Month/Day/Year) (Instr. 8) Owned Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 1,902 \$ D 11/08/2005 11/08/2005 S 13,866.52 D Stock (1) 50.69 Common 2,093 \$ 11/08/2005 S D 11,773.52 D 11/08/2005 (1) 50.68 Stock Common \$ S 995 (1) D 11/08/2005 D 11/08/2005 10,778.52 50.67 Stock Common 527 (1) D 11/08/2005 11/08/2005 S 10,251.52 D 50.66 Stock Common 2.283 11/08/2005 11/08/2005 S D 7,968.52 D

(1)

Stock

50.65

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Common Stock	11/08/2005	11/08/2005	S	483 <u>(1)</u> D	\$ 50.64	7,485.52	D	
Common Stock	11/08/2005	11/08/2005	S	322 <u>(1)</u> D	\$ 50.63	7,163.52	D	
Common Stock	11/08/2005	11/08/2005	S	146 <u>(1)</u> D	\$ 50.62	7,017.52	D	
Common Stock	11/08/2005	11/08/2005	S	454 <u>(1)</u> D	\$ 50.61	6,563.52	D	
Common Stock	11/08/2005	11/08/2005	S	907 <u>(1)</u> D	\$ 50.6	5,656.52	D	
Common Stock	11/08/2005	11/08/2005	S	1,654 D	\$ 50.59	4,002.52	D	
Common Stock	11/08/2005	11/08/2005	S	468 <u>(1)</u> D	\$ 50.58	3,534.52	D	
Common Stock	11/08/2005	11/08/2005	S	1,156 D	\$ 50.57	2,378.52	D	
Common Stock	11/08/2005	11/08/2005	S	2,239 (1) D	\$ 50.56	139.52	D	
Common Stock						666.68 <u>(2)</u>	Ι	By 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
		ector	10% Owner	Officer	Other			
RAWLEY CHARLES E 1441 GARDINER LANI LOUISVILLE, KY 4021	E			CDO				
Signatures								
Charles E. Rawley, III	11/09/20)05						
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares exercised and sold pursuant to SEC 10b5-1 plan.

(2) Reporting person acquired these shares under the Tricon 401(k) plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.