

ELSER MARCO M
Form 3
August 07, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â ELSER MARCO M

(Last) (First) (Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/25/2012

3. Issuer Name **and** Ticker or Trading Symbol
TRANS LUX CORP [TNLX]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

VIA PARIGI 11

(Street)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

ROME,Â L6Â 00185

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	42,500	I <u>(1)</u>	Manager of the account. <u>(1)</u>
Common Stock	62,500	I <u>(2)</u>	Manager of the account. <u>(2)</u>
Common Stock	60,000	I <u>(3)</u>	Manager of the account and own 50% of the equity. <u>(3)</u>
Series A Convertible Preferred Stock	5,000	I <u>(1)</u>	Manager of the account. <u>(1)</u>
Series A Convertible Preferred Stock	4,000	I <u>(2)</u>	Manager of the account. <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
A Warrants	11/14/2011	11/13/2012	Common Stock	450,000	\$ 1 ⁽⁴⁾	I	Fund manager.
B Warrants	Â ⁽⁵⁾	11/13/2014	Common Stock	450,000	\$ 1 ⁽⁶⁾	I	Fund manager.

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ELSER MARCO M VIA PARIGI 11 ROME,Â L6Â 00185	Â X	Â	Â	Â

Signatures

/s/ Marco M.
Elser

08/07/2012

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned by Carlisle Investments, of which I exercise voting and dispositive rights as the account manager, but disclaim any beneficial ownership.
- (2) Owned by Elser & Co., of which I exercise voting and dispositive rights as the account manager, but disclaim any beneficial ownership.
- (3) Owned by AdvCorp plc, of which I exercise voting and dispositive rights as the account manager, and own 50% of the equity of AdvCorp plc.
- (4) Each A Warrant entitles the holder to purchase one share of Common Stock at an exercise price of \$0.20 per share.
- (5) The B Warrants are exercisable upon the holder's exercise of the A Warrants, pursuant to which the B Warrants are issued and are exercisable for three years from date of the transaction.
- (6) Each B Warrant entitles the holder to purchase one share of Common Stock at an exercise price of \$0.50 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.