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BROWN FORMAN CORP
Form SC 13D/A
February 14, 2002

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20645

SCHEDULE 13D

Under the Securities Exchange Act of 1934
(Amendment No. 3)

BROWN-FORMAN CORPORATION
(Name of Issuer)

Class A Common Stock
(Title of Class of Securities)

115637-10-0
(CUSIP Number)

Thomas E. Rutledge
Ogden Newell & Welch PLLC
1700 PNC Plaza
500 West Jefferson Street
Louisville, Kentucky 40202
(502) 582-1601
(Name, Address and Telephone Number of Person
Authorized to Receive Notices and Communications)

January 1, 2002
(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d- 1(f) or 13d-1(g), check the following box [X].

CUSIP NO. 115637-10-0

(1) Names of reporting persons..... Dace Brown Stubb
I.R.S. Identification Nos. of above persons 407-60-4768

(2) Check the appropriate box if a member of a group (a)

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(see instructions)

(b)

| | | |
|--|--|--------------------|
| (3) | SEC use only | |
| (4) | Source of funds (see instructions)..... | N/A |
| (5) | Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e) | |
| (6) | Citizenship or Place of Organization | United States of A |
| Number of shares beneficially owned by each reporting person with: | | |
| (7) | Sole voting power | 1,000 |
| (8) | Shared voting power..... | 9,444,864 |
| (9) | Sole dispositive power | 1,000 |
| (10) | Shared dispositive power | 9,444,864 |
| (11) | Aggregate amount beneficially owned by each reporting person | 9,445,864 |
| (12) | Check if the aggregate amount Row (11) excludes certain shares (see instructions). | N/A |
| (13) | Percent of class represented by amount in Row (11)..... | 32.59% |
| (14) | Type of reporting person (see instructions) | IN |

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ITEM 1. SECURITY AND ISSUER.

Brown-Forman Corporation
 Class A Common Stock
 850 Dixie Highway
 Louisville, Kentucky 40210

ITEM 2. IDENTITY AND BACKGROUND.

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- a) Name: Dace Brown Stubbs
- b) Principal Business address: 135 Sago Palm Road
Vero Beach, Florida 32963
- c) Private Investor; Director, Brown-Forman Corporation
- d) Criminal Convictions: No criminal convictions in the last five years (excluding traffic violations or similar misdemeanors).
- e) Securities Proceedings: No judgments, decrees or final orders enjoining further violations of, or prohibiting or mandating activities subject to federal or state securities laws or finding any violations with respect to such laws.

ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION.

N/A

ITEM 4. PURPOSE OF TRANSACTION.

No transactions by the undersigned are reported on this Schedule. This Schedule is filed solely to update information already of record with respect to co-advisors set forth in Item 5.

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER.

The amount of shares beneficially owned by the undersigned as of December 31, 2001, is as follows:

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| | Aggregate Number ----- | Percent of Class ----- |
|--|------------------------------|------------------------------|
| (a) Beneficially Owned | 9,445,864 | 32.59% |
| (b) Sole Voting Power | 1,000 | |
| Shared Voting Power | 9,444,864 | |
| Sole Disposition Power | 1,000 | |
| Shared Disposition Power | 9,444,864 | |
| (c) No transactions in Brown-Forman Corporation Class A Common Stock of the issuer were effected by Dace Brown Stubbs in the last sixty days. | | |
| (d) The undersigned, as a member of the Advisory Committee to certain trusts, with two other Advisory Committee members, shares voting and disposal powers over 9,444,864 shares of Brown-Forman Corporation Class A common stock. Those other advisors are W.L. Lyons Brown, Jr., Hilliard-Lyons Center, 501 Fourth Avenue, Louisville, Kentucky 40202, Retired, former | | |

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Chairman of the Board and Chief Executive Officer of Brown-Forman Corporation, currently United States Ambassador to Austria, and Owsley Brown Frazier, The Bittners Building, 4938 Brownsboro Road, Suite 200, Louisville, Kentucky 40222, Retired, former Vice Chairman of Brown-Forman Corporation. Neither Mr. W.L. Lyons Brown, Jr. nor Mr. Owsley Brown Frazier has been convicted of a criminal offense or been found liable in a civil action involving securities laws in the last five years. Both are United States citizens.

(e) Not applicable.

ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH RESPECT TO SECURITIES OF THE ISSUER.

None.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 6, 2002

/s/ Dace Brown Stubbs

Dace Brown Stubbs