**CARNIVAL PLC** Form 4

June 13, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1(b).

(Last)

**MIAMI, FL 33178** 

Trust

1. Name and Address of Reporting Person \* ARISON MICKY MEIR

> (First) (Middle)

C/O CARNIVAL

CORPORATION, 3655 NW 87TH **AVE** 

(Street)

4. If Amendment, Date Original

CARNIVAL PLC [CUK]

3. Date of Earliest Transaction

(Month/Day/Year)

06/11/2014

Symbol

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

\_X\_\_ Director \_ 10% Owner X\_ Officer (give title Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State) (Zip)

2. Transaction Date 2A. Deemed 1. Title of Security (Instr. 3) (Month/Day/Year)

06/11/2014

3. Execution Date, if (Month/Day/Year)

TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Code V

S

S

4. Securities Acquired (A) or 5. Amount of Securities

Price

40.1552

(3)

\$

(5)

40.1552

(A)

or

(D)

D

Beneficially Form: Owned Direct (D) or Indirect Following Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

By Nickel 22,964 I 97-07 Trust

Ownership

Trust Shares(Beneficial 06/11/2014 Interest in Special

Voting Share) (1) Trust 06/11/2014

Shares(Beneficial Interest in Special

Shares(Beneficial

Interest in Special

Voting Share) (1)

\$ 257,854 D S (2) (4)

Amount

47,832

(2)

138,523 D (2)

40.1552 95,860,246

3,100,855 I Shares. L.P. By Nickel 2003

By MA

1994 B

Revocable

Voting Share) (1)								Trust
Trust Shares(Beneficial Interest in Special Voting Share) (1)	06/12/2014	S	86 (2)	D	\$ 40.03	22,878	I	By Nickel 97-07 Trust
Trust Shares(Beneficial Interest in Special Voting Share) (1)	06/12/2014	S	464 (2)	D	\$ 40.03	95,859,782	I	By MA 1994 B Shares, L.P.
Trust Shares(Beneficial Interest in Special Voting Share) (1)	06/12/2014	S	249 (2)	D	\$ 40.03	3,100,606	I	By Nickel 2003 Revocable Trust
Trust Shares(Beneficial Interest in Special Voting Share) (1)						1,954,324	I	By various trusts (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration D	ate	Amou	ınt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						]
	•				(A) or						J
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
					,						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						Lacroisdoic	Dute		of		
				Code V	(A) $(D)$				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
	X	X	Chairman of the Board				

Reporting Owners 2

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ARISON MICKY MEIR C/O CARNIVAL CORPORATION 3655 NW 87TH AVE MIAMI, FL 33178

## **Signatures**

/s/ John J. O'Neil, attorney-in-fact

06/13/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- These shares were sold pursuant to a Rule 10b5-1(c) sales plan dated February 28, 2014, relating to the sale of up to 5,000,000 shares of Carnival Corporation Common Stock.
- The transaction was executed in multiple trades at prices ranging from \$40.03 to \$40.25. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- The transaction was executed in multiple trades at prices ranging from \$40.03 to \$40.25. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- The transaction was executed in multiple trades at prices ranging from \$40.03 to \$40.25. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- Includes (i) 442,307 shares of Carnival Corporation Common Stock held by the Nickel 2009 GRAT; (ii) 756,008 shares of Carnival

  Corporation Common Stock held by the NA 2008 Trust; and (iii) 756,009 shares of Carnival Corporation Common Stock held by the KA 2008 Trust. The Reporting Person disclaims beneficial ownership of the shares of Carnival Corporation Common Stock held by the NA 2008 Trust and the KA 2008 Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3