

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-B

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC

Form NSAR-B

January 29, 2018

PAGE 1  
000 B000000 11/30/2017  
000 C000000 1245648  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY & CRUMRINE TOTAL RETURN FUND  
001 B000000 811-21380  
001 C000000 6267957300  
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720  
002 B000000 PASADENA  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 THE BANK OF NEW YORK MELLON  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
010 C040001 1722  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
012 A000002 Destra Capital Investment LLC  
012 B000002 84-68006  
012 C010002 Chicago  
012 C020002 IL  
012 C030002 60606  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
PAGE 2  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
018 000000 Y  
019 A000000 N  
019 B000000 0

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021 000000 0  
022 A000001 WELLS FARGO SECURITIES, LLC  
022 B000001 56-2326000  
022 C000001 7980  
022 D000001 5741  
022 A000002 JEFFERIES & COMPANY INC.  
022 B000002 95-2622900  
022 C000002 8695  
022 D000002 753  
022 A000003 MORGAN STANLEY  
022 B000003 13-2655998  
022 C000003 9318  
022 D000003 0  
022 A000004 GOLDMAN SACHS & CO.  
022 B000004 13-5108880  
022 C000004 8580  
022 D000004 0  
022 A000005 JPMORGAN SECURITIES  
022 B000005 13-3224016  
022 C000005 7513  
022 D000005 0  
022 A000006 CANTOR FINITZGERALD  
022 B000006 13-2698893  
022 C000006 1996  
022 D000006 3870  
022 A000007 DEUTSCHE BANK SECURITIES  
022 B000007 13-2730828  
022 C000007 5526  
022 D000007 336  
022 A000008 CABRERA CAPITAL MARKETS  
022 B000008 01-0892965  
022 C000008 2500  
022 D000008 2362  
022 A000009 MERRILL LYNCH  
022 B000009 13-2511846  
022 C000009 4498  
022 D000009 0  
022 A000010 CITIGROUP INC.  
022 B000010 52-1568099  
022 C000010 3555  
022 D000010 521  
023 C000000 74410  
023 D000000 15682  
024 000000 Y  
025 A000001 JPMORGAN CHASE & CO.  
PAGE 3  
025 B000001 13-3224016  
025 C000001 E  
025 D000001 15044  
025 A000002 WELLS FARGO & COMPANY  
025 B000002 56-2326000  
025 C000002 E  
025 D000002 13106  
025 A000003 CITIGROUP INC.  
025 B000003 52-1568099  
025 C000003 E  
025 D000003 11227  
025 A000004 MORGAN STANLEY  
025 B000004 13-2655998  
025 C000004 E  
025 D000004 9980  
025 A000005 BANK OF AMERICA

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|     |         |                     |   |
|-----|---------|---------------------|---|
| 025 | B000005 | 13-4078651          |   |
| 025 | C000005 | E                   |   |
| 025 | D000005 | 7755                |   |
| 025 | A000006 | GOLDMAN SACHS GROUP |   |
| 025 | B000006 | 13-5108880          |   |
| 025 | C000006 | E                   |   |
| 025 | D000006 | 4822                |   |
| 026 | A000000 | N                   |   |
| 026 | B000000 | N                   |   |
| 026 | C000000 | N                   |   |
| 026 | D000000 | Y                   |   |
| 026 | E000000 | N                   |   |
| 026 | F000000 | N                   |   |
| 026 | G010000 | N                   |   |
| 026 | G020000 | N                   |   |
| 026 | H000000 | N                   |   |
| 027 | 000000  | N                   |   |
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| 028 | A020000 |                     | 0 |
| 028 | A030000 |                     | 0 |
| 028 | A040000 |                     | 0 |
| 028 | B010000 |                     | 0 |
| 028 | B020000 |                     | 0 |
| 028 | B030000 |                     | 0 |
| 028 | B040000 |                     | 0 |
| 028 | C010000 |                     | 0 |
| 028 | C020000 |                     | 0 |
| 028 | C030000 |                     | 0 |
| 028 | C040000 |                     | 0 |
| 028 | D010000 |                     | 0 |
| 028 | D020000 |                     | 0 |
| 028 | D030000 |                     | 0 |
| 028 | D040000 |                     | 0 |
| 028 | E010000 |                     | 0 |
| 028 | E020000 |                     | 0 |
|     | PAGE    | 4                   |   |
| 028 | E030000 |                     | 0 |
| 028 | E040000 |                     | 0 |
| 028 | F010000 |                     | 0 |
| 028 | F020000 |                     | 0 |
| 028 | F030000 |                     | 0 |
| 028 | F040000 |                     | 0 |
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| 028 | G020000 |                     | 0 |
| 028 | G030000 |                     | 0 |
| 028 | G040000 |                     | 0 |
| 028 | H000000 |                     | 0 |
| 030 | A000000 |                     | 0 |
| 030 | B000000 | 0.00                |   |
| 030 | C000000 | 0.00                |   |
| 031 | A000000 |                     | 0 |
| 031 | B000000 |                     | 0 |
| 032 | 000000  |                     | 0 |
| 033 | 000000  |                     | 0 |
| 035 | 000000  |                     | 0 |
| 036 | B000000 |                     | 0 |
| 038 | 000000  |                     | 0 |
| 042 | A000000 |                     | 0 |
| 042 | B000000 |                     | 0 |
| 042 | C000000 |                     | 0 |
| 042 | D000000 |                     | 0 |
| 042 | E000000 |                     | 0 |

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|     |         |        |
|-----|---------|--------|
| 042 | F000000 | 0      |
| 042 | G000000 | 0      |
| 042 | H000000 | 0      |
| 043 | 000000  | 0      |
| 044 | 000000  | 0      |
| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 200000 |
| 048 | A020000 | 0.575  |
| 048 | B010000 | 300000 |
| 048 | B020000 | 0.500  |
| 048 | C010000 | 0      |
| 048 | C020000 | 0.000  |
| 048 | D010000 | 0      |
| 048 | D020000 | 0.000  |
| 048 | E010000 | 0      |
| 048 | E020000 | 0.000  |
| 048 | F010000 | 0      |
| 048 | F020000 | 0.000  |
| 048 | G010000 | 0      |
| 048 | G020000 | 0.000  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
|     | PAGE    | 5      |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 500000 |
| 048 | K020000 | 0.450  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | N      |
| 054 | A000000 | Y      |
| 054 | B000000 | Y      |
| 054 | C000000 | N      |
| 054 | D000000 | N      |
| 054 | E000000 | N      |
| 054 | F000000 | N      |
| 054 | G000000 | Y      |
| 054 | H000000 | Y      |
| 054 | I000000 | N      |
| 054 | J000000 | Y      |
| 054 | K000000 | N      |
| 054 | L000000 | N      |
| 054 | M000000 | Y      |
| 054 | N000000 | N      |
| 054 | O000000 | Y      |
| 055 | A000000 | N      |
| 055 | B000000 | Y      |
| 056 | 000000  | Y      |
| 057 | 000000  | N      |
| 058 | A000000 | N      |
| 059 | 000000  | Y      |
| 060 | A000000 | Y      |
| 060 | B000000 | Y      |
| 061 | 000000  | 0      |
| 062 | A000000 | N      |

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062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0

PAGE 6

062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 Y  
070 P010000 N

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070 P020000 N  
070 Q010000 N  
070 Q020000 N  
070 R010000 Y  
070 R020000 N  
PAGE 7  
071 A000000 74410  
071 B000000 66140  
071 C000000 308490  
071 D000000 21  
072 A000000 12  
072 B000000 9627  
072 C000000 9378  
072 D000000 0  
072 E000000 0  
072 F000000 1731  
072 G000000 251  
072 H000000 0  
072 I000000 189  
072 J000000 30  
072 K000000 0  
072 L000000 72  
072 M000000 55  
072 N000000 0  
072 O000000 0  
072 P000000 2131  
072 Q000000 0  
072 R000000 57  
072 S000000 62  
072 T000000 0  
072 U000000 0  
072 V000000 0  
072 W000000 216  
072 X000000 4794  
072 Y000000 0  
072 Z000000 14211  
072AA000000 4404  
072BB000000 0  
072CC010000 15593  
072CC020000 0  
072DD010000 15604  
072DD020000 0  
072EE000000 0  
073 A010000 1.5680  
073 A020000 0.0000  
073 B000000 0.0000  
073 C000000 0.0000  
074 A000000 0  
074 B000000 0  
074 C000000 0  
074 D000000 16031  
074 E000000 303270  
074 F000000 673  
074 G000000 0  
074 H000000 0  
074 I000000 1522  
074 J000000 186  
PAGE 8  
074 K000000 0  
074 L000000 3305  
074 M000000 23  
074 N000000 325010

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|     |         |                                   |
|-----|---------|-----------------------------------|
| 074 | O000000 | 750                               |
| 074 | P000000 | 145                               |
| 074 | Q000000 | 107900                            |
| 074 | R010000 | 0                                 |
| 074 | R020000 | 0                                 |
| 074 | R030000 | 0                                 |
| 074 | R040000 | 229                               |
| 074 | S000000 | 0                                 |
| 074 | T000000 | 215986                            |
| 074 | U010000 | 9958                              |
| 074 | U020000 | 0                                 |
| 074 | V010000 | 21.69                             |
| 074 | V020000 | 0.00                              |
| 074 | W000000 | 0.0000                            |
| 074 | X000000 | 13                                |
| 074 | Y000000 | 0                                 |
| 075 | A000000 | 0                                 |
| 075 | B000000 | 211183                            |
| 076 | 000000  | 21.33                             |
| 077 | A000000 | Y                                 |
| 077 | B000000 | Y                                 |
| 077 | C000000 | N                                 |
| 077 | D000000 | N                                 |
| 077 | E000000 | N                                 |
| 077 | F000000 | N                                 |
| 077 | G000000 | N                                 |
| 077 | H000000 | N                                 |
| 077 | I000000 | N                                 |
| 077 | J000000 | N                                 |
| 077 | K000000 | N                                 |
| 077 | L000000 | N                                 |
| 077 | M000000 | N                                 |
| 077 | N000000 | N                                 |
| 077 | O000000 | N                                 |
| 077 | P000000 | N                                 |
| 077 | Q010000 | N                                 |
| 077 | Q020000 | N                                 |
| 077 | Q030000 | N                                 |
| 078 | 000000  | N                                 |
| 080 | A000000 | Federal Insurance Company (Chubb) |
| 080 | B000000 | NA                                |
| 080 | C000000 | 750                               |
| 081 | A000000 | N                                 |
| 081 | B000000 | 0                                 |
| 082 | A000000 | N                                 |
| 082 | B000000 | 0                                 |
| 083 | A000000 | N                                 |
|     | PAGE    | 9                                 |
| 083 | B000000 | 0                                 |
| 084 | A000000 | N                                 |
| 084 | B000000 | 0                                 |
| 085 | A000000 | Y                                 |
| 085 | B000000 | N                                 |
| 086 | A010000 | 22                                |
| 086 | A020000 | 460                               |
| 086 | B010000 | 0                                 |
| 086 | B020000 | 0                                 |
| 086 | C010000 | 0                                 |
| 086 | C020000 | 0                                 |
| 086 | D010000 | 0                                 |
| 086 | D020000 | 0                                 |
| 086 | E010000 | 0                                 |

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086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 338479108  
087 A030000 FLC  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE BRADFORD S. STONE  
TITLE CHIEF FINANCIAL