

FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC
Form NSAR-B
January 29, 2018

PAGE 1
000 B000000 11/30/2017
000 C000000 868578
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FLAHERTY & CRUMRINE PFD INC FUND, INC.
001 B000000 811-06179
001 C000000 6267957300
002 A000000 301 E. Colorado Boulevard, Suite 720
002 B000000 Pasadena
002 C000000 CA
002 D010000 91101
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FLAHERTY & CRUMRINE INCORPORATED
008 B000001 A
008 C000001 801-19384
008 D010001 PASADENA
008 D020001 CA
008 D030001 91101
010 A000001 THE BANK OF NEW YORK MELLON
010 B000001 84-1761
010 C010001 WESTBOROUGH
010 C020001 MA
010 C030001 01581
010 C040001 1722
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WESTBOROUGH
012 C020001 MA
012 C030001 01581
013 A000001 KPMG LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02110
015 A000001 THE BANK OF NEW YORK MELLON CORP.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
018 000000 Y
019 A000000 N
PAGE 2
019 B000000 0
021 000000 0
022 A000001 GOLDMAN SACHS & CO.
022 B000001 13-5108880
022 C000001 6465
022 D000001 0

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

022 A000002 JPMORGAN SECURITIES
022 B000002 13-3112953
022 C000002 5547
022 D000002 0
022 A000003 JEFFERIES & COMPANY INC.
022 B000003 95-2622900
022 C000003 5187
022 D000003 128
022 A000004 MERRILL LYNCH
022 B000004 13-2511846
022 C000004 4573
022 D000004 0
022 A000005 WELLS FARGO SECURITIES, LLC
022 B000005 56-2326000
022 C000005 2796
022 D000005 1657
022 A000006 MORGAN STANLEY
022 B000006 13-2655998
022 C000006 3889
022 D000006 0
022 A000007 CANTOR FINZGERALD
022 B000007 13-3680184
022 C000007 1064
022 D000007 2738
022 A000008 CABRERA CAPITAL MARKETS
022 B000008 01-0892965
022 C000008 2203
022 D000008 1406
022 A000009 CITIGROUP
022 B000009 13-5266470
022 C000009 3071
022 D000009 0
022 A000010 HSBC HOLDINGS PLC
022 B000010 00-0000000
022 C000010 2750
022 D000010 0
023 C000000 44445
023 D000000 7123
024 000000 Y
025 A000001 JPMORGAN CHASE & COMPANY
025 B000001 13-3112953
025 C000001 E
025 D000001 11107
025 A000002 WELLS FARGO & COMPANY
025 B000002 56-2326000
PAGE 3
025 C000002 E
025 D000002 9644
025 A000003 CITIGROUP
025 B000003 13-5266470
025 C000003 E
025 D000003 8820
025 A000004 MORGAN STANLEY
025 B000004 13-2655998
025 C000004 E
025 D000004 8443
025 A000005 BANK OF AMERICA
025 B000005 13-4078651
025 C000005 E
025 D000005 4448
025 A000006 GOLDMAN SACHS GROUP
025 B000006 13-5108880

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

| | | | |
|-----|---------|---|------|
| 025 | C000006 | E | |
| 025 | D000006 | | 3735 |
| 026 | A000000 | N | |
| 026 | B000000 | N | |
| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| | PAGE | 4 | |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | | 0.00 |
| 030 | C000000 | | 0.00 |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 100000
 048 A020000 0.625
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 100000
 PAGE 5
 048 K020000 0.500
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 Y
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
PAGE 6
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 Y
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 Y
070 R020000 N

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

071 A000000 44445
 071 B000000 40162
 071 C000000 229130
 071 D000000 18
 072 A000000 12

PAGE 7

072 B000000 7289
 072 C000000 6702
 072 D000000 0
 072 E000000 0
 072 F000000 1298
 072 G000000 218
 072 H000000 0
 072 I000000 38
 072 J000000 24
 072 K000000 0
 072 L000000 70
 072 M000000 55
 072 N000000 0
 072 O000000 0
 072 P000000 1574
 072 Q000000 0
 072 R000000 57
 072 S000000 62
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 192
 072 X000000 3588
 072 Y000000 0
 072 Z000000 10403
 072AA000000 2271
 072BB000000 0
 072CC010000 11625
 072CC020000 0
 072DD010000 11502
 072DD020000 0
 072EE000000 0
 073 A010000 1.0320
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 0
 074 B000000 0
 074 C000000 0
 074 D000000 10720
 074 E000000 223972
 074 F000000 1907
 074 G000000 0
 074 H000000 0
 074 I000000 1855
 074 J000000 305
 074 K000000 0
 074 L000000 2340
 074 M000000 24
 074 N000000 241123
 074 O000000 557

PAGE 8

074 P000000 109
 074 Q000000 80100
 074 R010000 0
 074 R020000 0

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

| | | |
|-----|---------|-----------------------------------|
| 074 | R030000 | 0 |
| 074 | R040000 | 208 |
| 074 | S000000 | 0 |
| 074 | T000000 | 160149 |
| 074 | U010000 | 11173 |
| 074 | U020000 | 0 |
| 074 | V010000 | 14.33 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 179 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 156883 |
| 076 | 000000 | 15.50 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | Federal Insurance Company (Chubb) |
| 080 | B000000 | N/A |
| 080 | C000000 | 600 |
| 081 | A000000 | N |
| 081 | B000000 | 0 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| | PAGE | 9 |
| 086 | A010000 | 61 |
| 086 | A020000 | 872 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 338480106 |

Edgar Filing: FLAHERTY & CRUMRINE PREFERRED INCOME FUND INC - Form NSAR-B

087 A030000 PFD

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE BRADFORD S. STONE

TITLE CHIEF FINANCIAL