

Edgar Filing: PREFERRED INCOME FUND INC - Form NSAR-A

PREFERRED INCOME FUND INC

Form NSAR-A

July 30, 2004

PAGE 1  
000 A000000 05/31/2004  
000 C000000 868578  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FLAHERTY&CRUMRINE PREFERRED INCOME FUND INC.  
001 B000000 811-06179  
001 C000000 6267957300  
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720  
002 B000000 PASADENA  
002 C000000 CA  
002 D010000 91101  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 FLAHERTY & CRUMRINE INCORPORATED  
008 B000001 A  
008 C000001 801-19384  
008 D010001 PASADENA  
008 D020001 CA  
008 D030001 91101  
010 A000001 PFPC INC.  
010 B000001 84-1761  
010 C010001 WESTBOROUGH  
010 C020001 MA  
010 C030001 01581  
012 A000001 PFPC INC.  
012 B000001 84-1761  
012 C010001 WESTBOROUGH  
012 C020001 MA  
012 C030001 01581  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
PAGE 2  
013 B030001 02110  
015 A000001 PFPC TRUST COMPANY  
015 B000001 C  
015 C010001 PHILADELPHIA  
015 C020001 PA  
015 C030001 19153

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015 E010001 X  
 018 000000 Y  
 019 A000000 N  
 019 B000000 0  
 020 A000001 GOLDMAN SACHS & CO.  
 020 B000001 13-5108880  
 020 C000001 59  
 020 A000002 WACHOVIA BANK  
 020 B000002 56-1232143  
 020 C000002 5  
 020 A000003 KBC FINANCIAL  
 020 B000003 13-4035459  
 020 C000003 2  
 020 A000004 MITCHELL SECURITIES  
 020 B000004 13-3849153  
 020 C000004 1  
 020 C000005 0  
 020 C000006 0  
 020 C000007 0  
 020 C000008 0  
 020 C000009 0  
 020 C000010 0  
 021 000000 69  
 022 A000001 LEHMAN BROTHERS SECURITIES  
 022 B000001 13-2518466  
 022 C000001 16436  
 022 D000001 5648  
 022 A000002 DEUTSCHE BANK CAPITAL CORP.  
 022 B000002 13-6124068  
 022 C000002 5519  
 022 D000002 5439  
 022 A000003 SPEAR, LEEDS & KELLOGG  
 022 B000003 13-5515160  
 022 C000003 889  
 022 D000003 8821  
 022 A000004 CITIGROUP  
 022 B000004 13-5266470  
 022 C000004 2953  
 022 D000004 3457  
 022 A000005 SANDLER O'NEILL & PARTNERS  
 022 B000005 13-3481255  
 022 C000005 0  
 022 D000005 6001  
 022 A000006 DONALDSON, LUFKIN & JENRETTE  
 022 B000006 13-2741729  
 PAGE 3  
 022 C000006 3771  
 022 D000006 1890  
 022 A000007 GOLDMAN SACHS & CO.  
 022 B000007 13-5108880  
 022 C000007 4000  
 022 D000007 0  
 022 A000008 WACHOVIA BANK  
 022 B000008 56-1232143  
 022 C000008 1056  
 022 D000008 1144  
 022 A000009 MORGAN STANLEY & CO. INC.  
 022 B000009 13-2655998  
 022 C000009 0  
 022 D000009 1884  
 022 A000010 R. SEELAUS & CO.  
 022 B000010 22-2501042

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|     |         |                               |
|-----|---------|-------------------------------|
| 022 | C000010 | 1789                          |
| 022 | D000010 | 0                             |
| 023 | C000000 | 39820                         |
| 023 | D000000 | 36041                         |
| 024 | 000000  | Y                             |
| 025 | A000001 | JP MORGAN                     |
| 025 | B000001 | 13-3224016                    |
| 025 | C000001 | E                             |
| 025 | D000001 | 17790                         |
| 025 | A000002 | LEHMAN BROTHERS HOLDINGS INC. |
| 025 | B000002 | 13-2518466                    |
| 025 | C000002 | E                             |
| 025 | D000002 | 11001                         |
| 025 | A000003 | CITIGROUP INC.                |
| 025 | B000003 | 13-5266470                    |
| 025 | C000003 | E                             |
| 025 | D000003 | 10517                         |
| 025 | A000004 | WACHOVIA CORP.                |
| 025 | B000004 | 56-1232143                    |
| 025 | C000004 | E                             |
| 025 | D000004 | 6517                          |
| 025 | A000005 | BEAR STEARNS & CO INC.        |
| 025 | B000005 | 13-4946705                    |
| 025 | C000005 | E                             |
| 025 | D000005 | 5473                          |
| 025 | A000006 | DEUTSCHE BANK                 |
| 025 | B000006 | 13-6124068                    |
| 025 | C000006 | E                             |
| 025 | D000006 | 1608                          |
| 025 | D000007 | 0                             |
| 025 | D000008 | 0                             |
| 026 | A000000 | N                             |
| 026 | B000000 | N                             |
| 026 | C000000 | N                             |
| 026 | D000000 | Y                             |
|     | PAGE    | 4                             |
| 026 | E000000 | N                             |
| 026 | F000000 | N                             |
| 026 | G010000 | N                             |
| 026 | G020000 | N                             |
| 026 | H000000 | N                             |
| 027 | 000000  | N                             |
| 028 | A010000 | 0                             |
| 028 | A020000 | 0                             |
| 028 | A030000 | 0                             |
| 028 | A040000 | 0                             |
| 028 | B010000 | 0                             |
| 028 | B020000 | 0                             |
| 028 | B030000 | 0                             |
| 028 | B040000 | 0                             |
| 028 | C010000 | 0                             |
| 028 | C020000 | 0                             |
| 028 | C030000 | 0                             |
| 028 | C040000 | 0                             |
| 028 | D010000 | 0                             |
| 028 | D020000 | 0                             |
| 028 | D030000 | 0                             |
| 028 | D040000 | 0                             |
| 028 | E010000 | 0                             |
| 028 | E020000 | 0                             |
| 028 | E030000 | 0                             |
| 028 | E040000 | 0                             |

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|     |         |        |
|-----|---------|--------|
| 028 | F010000 | 0      |
| 028 | F020000 | 0      |
| 028 | F030000 | 0      |
| 028 | F040000 | 0      |
| 028 | G010000 | 0      |
| 028 | G020000 | 0      |
| 028 | G030000 | 0      |
| 028 | G040000 | 0      |
| 028 | H000000 | 0      |
| 030 | A000000 | 0      |
| 030 | B000000 | 0.00   |
| 030 | C000000 | 0.00   |
| 031 | A000000 | 0      |
| 031 | B000000 | 0      |
| 032 | 000000  | 0      |
| 033 | 000000  | 0      |
| 035 | 000000  | 0      |
| 036 | B000000 | 0      |
| 038 | 000000  | 0      |
| 042 | A000000 | 0      |
| 042 | B000000 | 0      |
| 042 | C000000 | 0      |
| 042 | D000000 | 0      |
| 042 | E000000 | 0      |
| 042 | F000000 | 0      |
|     | PAGE 5  |        |
| 042 | G000000 | 0      |
| 042 | H000000 | 0      |
| 043 | 000000  | 0      |
| 044 | 000000  | 0      |
| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.625  |
| 048 | B010000 | 0      |
| 048 | B020000 | 0.000  |
| 048 | C010000 | 0      |
| 048 | C020000 | 0.000  |
| 048 | D010000 | 0      |
| 048 | D020000 | 0.000  |
| 048 | E010000 | 0      |
| 048 | E020000 | 0.000  |
| 048 | F010000 | 0      |
| 048 | F020000 | 0.000  |
| 048 | G010000 | 0      |
| 048 | G020000 | 0.000  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | N      |
| 054 | A000000 | Y      |

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054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 Y  
055 A000000 N  
PAGE 6  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y

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|     |          |    |        |
|-----|----------|----|--------|
| 070 | D020000  | N  |        |
| 070 | E010000  | Y  |        |
| 070 | E020000  | N  |        |
| 070 | F010000  | Y  |        |
| 070 | F020000  | N  |        |
|     | PAGE     | 7  |        |
| 070 | G010000  | Y  |        |
| 070 | G020000  | Y  |        |
| 070 | H010000  | Y  |        |
| 070 | H020000  | N  |        |
| 070 | I010000  | N  |        |
| 070 | I020000  | N  |        |
| 070 | J010000  | Y  |        |
| 070 | J020000  | Y  |        |
| 070 | K010000  | Y  |        |
| 070 | K020000  | Y  |        |
| 070 | L010000  | Y  |        |
| 070 | L020000  | Y  |        |
| 070 | M010000  | Y  |        |
| 070 | M020000  | N  |        |
| 070 | N010000  | Y  |        |
| 070 | N020000  | N  |        |
| 070 | O010000  | Y  |        |
| 070 | O020000  | N  |        |
| 070 | P010000  | N  |        |
| 070 | P020000  | N  |        |
| 070 | Q010000  | N  |        |
| 070 | Q020000  | N  |        |
| 070 | R010000  | Y  |        |
| 070 | R020000  | N  |        |
| 071 | A000000  |    | 43918  |
| 071 | B000000  |    | 46392  |
| 071 | C000000  |    | 237693 |
| 071 | D000000  | 18 |        |
| 072 | A000000  | 6  |        |
| 072 | B000000  |    | 1602   |
| 072 | C000000  |    | 5932   |
| 072 | D000000  |    | 0      |
| 072 | E000000  |    | 0      |
| 072 | F000000  |    | 672    |
| 072 | G000000  |    | 109    |
| 072 | H000000  |    | 0      |
| 072 | I000000  |    | 40     |
| 072 | J000000  |    | 13     |
| 072 | K000000  |    | 0      |
| 072 | L000000  |    | 16     |
| 072 | M000000  |    | 37     |
| 072 | N000000  |    | 0      |
| 072 | O000000  |    | 0      |
| 072 | P000000  |    | 0      |
| 072 | Q000000  |    | 0      |
| 072 | R000000  |    | 26     |
| 072 | S000000  |    | 32     |
| 072 | T000000  |    | 0      |
| 072 | U000000  |    | 0      |
| 072 | V000000  |    | 0      |
| 072 | W000000  |    | 260    |
|     | PAGE     | 8  |        |
| 072 | X000000  |    | 1205   |
| 072 | Y000000  |    | 0      |
| 072 | Z000000  |    | 6329   |
| 072 | AA000000 |    | 4791   |

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|             |        |
|-------------|--------|
| 072BB000000 | 0      |
| 072CC010000 | 0      |
| 072CC020000 | 8650   |
| 072DD010000 | 6215   |
| 072DD020000 | 0      |
| 072EE000000 | 0      |
| 073 A010000 | 0.6100 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0      |
| 074 B000000 | 0      |
| 074 C000000 | 2049   |
| 074 D000000 | 1197   |
| 074 E000000 | 225647 |
| 074 F000000 | 2151   |
| 074 G000000 | 0      |
| 074 H000000 | 4895   |
| 074 I000000 | 0      |
| 074 J000000 | 96     |
| 074 K000000 | 0      |
| 074 L000000 | 2168   |
| 074 M000000 | 182    |
| 074 N000000 | 238385 |
| 074 O000000 | 99     |
| 074 P000000 | 170    |
| 074 Q000000 | 0      |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 0      |
| 074 R040000 | 346    |
| 074 S000000 | 80000  |
| 074 T000000 | 157770 |
| 074 U010000 | 10214  |
| 074 U020000 | 0      |
| 074 V010000 | 15.45  |
| 074 V020000 | 0.00   |
| 074 W000000 | 0.0000 |
| 074 X000000 | 426    |
| 074 Y000000 | 0      |
| 075 A000000 | 0      |
| 075 B000000 | 243910 |
| 076 000000  | 17.62  |
| 077 A000000 | Y      |
| 077 B000000 | N      |
| 077 C000000 | Y      |
| 077 D000000 | Y      |
| PAGE 9      |        |
| 077 E000000 | N      |
| 077 F000000 | N      |
| 077 G000000 | N      |
| 077 H000000 | N      |
| 077 I000000 | N      |
| 077 J000000 | N      |
| 077 K000000 | N      |
| 077 L000000 | N      |
| 077 M000000 | N      |
| 077 N000000 | N      |
| 077 O000000 | N      |
| 077 P000000 | N      |
| 077 Q010000 | Y      |
| 077 Q020000 | N      |

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077 Q030000 N  
078 000000 N  
086 A010000 61  
086 A020000 1065  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 338480106  
087 A030000 PFD  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE BRIAN CURRAN  
TITLE ASSISTANT TREASURER