

Edgar Filing: STATE STREET CORP - Form 3

STATE STREET CORP  
 Form 3  
 April 17, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 3  
 INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person  
 Gavell, Stefan M  
 State Street Corporation  
 225 Franklin Street  
 Boston, MA 02110
2. Date of Event Requiring Statement (Month/Day/Year)  
 3/12/02
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Issuer Name and Ticker or Trading Symbol  
 State Street Corporation  
 STT
5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 Director  10% Owner  Officer (give title below)  Other  
 (specify below)  
 Acting EVP, CFO and Treasurer
6. If Amendment, Date of Original (Month/Day/Year)  
 3/19/02
7. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security	2. Amount of Securities Beneficially Owned	3. Ownership Form: Direct (D) or Indirect (I)	4. Nature of Beneficial
Common Stock	3,812	D	
Common Stock	4,470	I	401(k) Plan(1)

Table II -- Derivative Securites Beneficially Owned

1. Title of Derivative Security	2. Date Exercisable and Expiration Date	3. Title and Amount of Underlying Securities	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security
Employee Stock Option (right to buy)	6/19/98-6/18/07	Common Stock	26.21875	D(2)
	6/19/00			

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Employee Stock Option (right to buy)	6/18/99-	6/17/08	Common Stock	3,000	34.15625	D(2)
	6/18/01					
Employee Stock Option (right to buy)	6/17/00-	6/16/09	Common Stock	7,406	40.51565	D(2)
	6/17/02					
Employee Stock Option (right to buy)	12/16/00-	2/16/10	Common Stock	25,000	39.25	D(2)
	12/16/02					
Employee Stock Option (right to buy)	12/21/01-	12/20/10	Common Stock	15,600	60.73750	D(2)
	12/21/03					
Employee Stock Option (right to buy)	12/20/02-	12/19/11	Common Stock	25,800	51.975	D(2)
	12/20/04					
Employee Stock Option (right to buy)	2/21/04	2/20/12	Common Stock	13,500	49.705	D(3)

Explanation of Responses:

(1) As of last plan statement.

(2) Employee stock option granted pursuant to State Street Corporation 1997 Equity Incentive Plan exercisable in 33 1/3% installments over a three-year period.

(3) Employee stock option granted pursuant to State Street Corporation 1997 Equity Incentive Plan.

SIGNATURE OF REPORTING PERSON

/s/Stefan M. Gavell

DATE

4/10/02