GAIN Capital Holdings, Inc.

Form 4

August 01, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* RIMA SENVEST MANAGEMENT, L.L.C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

GAIN Capital Holdings, Inc.

(Check all applicable)

[GCAP]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title

\_X\_\_ 10% Owner \_ Other (specify

540 MADISON AVENUE, 32ND **FLOOR** 

(Street)

05/12/2014

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

below)

NEW YORK, NY 10022

							reison		
(City)	(State) (2	Zip) Table	e I - Non-D	erivative	Securi	ities Acc	quired, Disposed (	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	ransaction(A) or Disposed of ode (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	Code V		, ,	Price \$ 7.76	434,166	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	3,413	A	\$ 7.76	437,579	I (1)	See footnote (1)
Common Stock, \$0.00001	05/12/2014	05/15/2014	P	175	A	\$ 7.75	437,754	I (1)	See footnote (1)

par value									
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	442	A	\$ 7.75	438,196	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	1,934	A	\$ 7.72	440,130	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	4,889	A	\$ 7.72	445,019	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/12/2014	05/16/2014	P	27	A	\$ 7.85	445,046	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/12/2014	05/16/2014	P	68	A	\$ 7.85	445,114	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/12/2014	05/19/2014	P	298	A	\$ 7.8	445,412	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/12/2014	05/19/2014	P	765	A	\$ 7.8	446,177	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	144	A	\$ 7.94	446,321	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	388	A	\$ 7.94	446,709	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	442	A	\$ 7.98	447,151	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	1,153	A	\$ 7.98	448,304	I (1)	See footnote (1)

Common Stock, \$0.00001 par value	06/09/2014	06/12/2014	P	576	A	\$ 7.7	448,880	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	06/09/2014	06/12/2014	P	1,563	A	\$ 7.7	450,443	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	06/10/2014	06/13/2014	P	144	A	\$ 7.78	450,587	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	06/10/2014	06/13/2014	P	390	A	\$ 7.78	450,977	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/10/2014	07/15/2014	P	713	A	\$ 6.86	451,690	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/10/2014	07/15/2014	P	1,962	A	\$ 6.86	453,652	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/11/2014	07/16/2014	P	199	A	\$ 6.71	453,851	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/11/2014	07/16/2014	P	544	A	\$ 6.71	454,395	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/14/2014	07/17/2014	P	143	A	\$ 6.66	454,538	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/14/2014	07/17/2014	P	392	A	\$ 6.66	454,930	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/15/2014	07/18/2014	P	143	A	\$ 6.58	455,073	I (1)	See footnote (1)
	07/15/2014	07/18/2014	P	392	A		455,465	I (1)	

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Common Stock, \$0.00001 par value						\$ 6.58		See footnote (1)
Common Stock, \$0.00001 par value	07/16/2014	07/21/2014	P	287	A	\$ 455,752	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/16/2014	07/21/2014	P	783	A	\$ 456,535	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/17/2014	07/22/2014	P	262	A	\$ 6.52 456,797	I (1)	See footnote (1)
Common Stock, \$0.00001 par value	07/17/2014	07/22/2014	P	700	A	\$ 6.52 457,497	I (1)	See footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
topotoms o who i that of	Director	10% Owner	Officer	Other		
RIMA SENVEST MANAGEMENT, L.L.C. 540 MADISON AVENUE 32ND FLOOR NEW YORK, NY 10022		X				
MASHAAL RICHARD R C/O RIMA SENVEST MANAGEMENT, L.L.C. 540 MADISON AVENUE, 32ND FLOOR NEW YORK, NY 10022		X				

### **Signatures**

RIMA Senvest Management, L.L.C. By: /s/ Richard R. Mashaal, Managing

Member

\*\*Signature of Reporting Person

Date

/s/ Richard R. Mashaal

08/01/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - These securities are held in the accounts of several investment partnerships and investment funds (collectively, the "Investment Vehicles") for which RIMA Senvest Management, L.L.C. ("RIMA") serves as investment manager or general partner. Richard Mashaal is
- (1) the managing member of RIMA. RIMA and Mr. Mashaal may be deemed to beneficially own the securities held by the Investment Vehicles by virtue of RIMA's position as investment manager or general partner of the Investment Vehicles and Mr. Mashaal's status as the managing member of RIMA.

#### **Remarks:**

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