

ERGEN CANTEY

Form 4

June 01, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
ERGEN CHARLES W

(Last) (First) (Middle)

9601 S. MERIDIAN BLVD.

(Street)

ENGLEWOOD, CO 80112

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
DISH Network CORP [DISH]

3. Date of Earliest Transaction
(Month/Day/Year)
05/30/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☐ Form filed by One Reporting Person
☒ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Class A Common Stock					596,470	D	
Class A Common Stock					19,827	I	I ⁽¹⁾
Class A Common Stock					235	I	I ⁽²⁾
Class A Common					2,266	I	I ⁽³⁾

Edgar Filing: ERGEN CANTEY - Form 4

Stock

Class A
Common
Stock

8,955 I I ⁽⁴⁾

Class A
Common
Stock

6,465 I I ⁽⁵⁾

Class A
Common
Stock

2,167,705 I I ⁽⁶⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and	
							Date Exercisable	Expiration Date	Title	
				Code	V	(A)	(D)			
Class B Common Stock	(7)	05/30/2018		G(8)	V		24,396,261	(7)	(7)	Class A Common Stock
Class B Common Stock	(7)	05/30/2018		G(8)	V	24,396,261		(7)	(7)	Class A Common Stock
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ERGEN CHARLES W 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112	X	X	Chairman	
ERGEN CANTEY 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112	X	X		

Signatures

/s/ Charles W. Ergen, by Brandon Ehrhart, his Attorney in
Fact

06/01/2018

__Signature of Reporting Person

Date

/s/ Cantey M. Ergen, by Brandon Ehrhart, her Attorney in
Fact

06/01/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by Mr. Charles W. Ergen in a 401(k) account.
- (2) Held by Mrs. Cantey M. Ergen.
- (3) Held by Mrs. Cantey M. Ergen in a 401(k) account.
- (4) The shares are owned beneficially by the reporting persons' child. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (5) The shares are owned beneficially by a trust that Mrs. Cantey M. Ergen holds a durable power of attorney for the beneficiary. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (6) The shares are held by a charitable foundation. The reporting persons are officers of the charitable foundation and share investment control and voting power for the foundation. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (7) The holders of Class B shares may elect to convert any or all of their Class B shares into an equal number of Class A shares at any time for no additional consideration.
- (8) Pursuant to the terms of the Ergen Three-Year 2017 DISH GRAT, 24,396,261 Class B shares were distributed as an annuity to Mr. Ergen on May 30, 2018. Following this distribution, the Ergen Three-Year 2017 DISH GRAT retained 15,603,739 Class B shares. The Ergen Three-Year 2017 DISH GRAT is scheduled to expire in accordance with its terms on May 30, 2020. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (9) The Ergen Three-Year 2015 DISH GRAT holds 8,536,625 Class B shares and is scheduled to expire in accordance with its terms on November 30, 2018. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (10) The Ergen Two-Year 2017 DISH GRAT holds 40,000,000 Class B shares and is scheduled to expire in accordance with its terms on November 30, 2019. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (11) The Ergen Two-Year March 2018 DISH GRAT holds 36,000,000 Class B shares and is scheduled to expire in accordance with its terms on March 9, 2020. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.
- (12) The Ergen Two-Year May 2018 DISH GRAT holds 32,000,000 Class B shares and is scheduled to expire in accordance with its terms on May 9, 2020. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.

Edgar Filing: ERGEN CANTEY - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.