

MVC CAPITAL, INC.

Form 4

September 14, 2007

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KNAPP ROBERT C

(Last) (First) (Middle)

C/O MVC CAPITAL, INC., 287  
BOWMAN AVENUE, 2ND FLOOR

(Street)

PURCHASE,, NY 10577

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
MVC CAPITAL, INC. [MVC]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/12/2007

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$.01 par value	09/12/2007		P		7,000	A	\$ 16.85 (1)
							165,441 (2)
Common Stock, \$.01 par value	09/13/2007		P		18,000	A	\$ 17.05 (3)
							183,441

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Benef Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

KNAPP ROBERT C  
C/O MVC CAPITAL, INC.  
287 BOWMAN AVENUE, 2ND FLOOR  
PURCHASE,, NY 10577

X

## Signatures

/s/ Robert C.  
Knapp

09/14/2007

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 7,000 shares of common stock, \$.01 par value ("Shares") were purchased on September 12, 2007 at an average price of \$16.85 per Share. The prices at which Shares were purchased ranged from \$16.75 to \$16.90 per Share.

- (2) Includes approximately 1,361.4 Shares acquired on January 31, 2006, 1,320.8 Shares acquired on April 28, 2006, 1,300.48 Shares acquired on July 31, 2006, 1,238.65 Shares acquired on October 31, 2006, 1,762.33 Shares acquired on January 5, 2007, 1,083.46 Shares acquired on April 30, 2007 and 1,259.13 Shares acquired on July 31, 2007 pursuant to the MVC Capital, Inc. dividend reinvestment plan.

- (3) 18,000 Shares were purchased on September 13, 2007 at an average price of \$17.05 per Share. The prices at which Shares were purchased ranged from \$16.95 to \$17.15 per Share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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