

Ashley Richard H
Form 4/A
February 08, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ashley Richard H

2. Issuer Name **and** Ticker or Trading
Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 966
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
01/18/2019

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

CONWAY, AR 72033

4. If Amendment, Date Original
Filed(Month/Day/Year)
01/23/2019

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock - Restricted	01/18/2019		A	2,000 (1)	A \$ 0 4,500 (2) (3) (4)	D	
Common Stock					147,853.9904 (2)	D	
Common Stock					819,584 (5)	I	By Conservative Development
Common Stock					1,684.9 (5)	I	By Square Associates LLC

Edgar Filing: Ashley Richard H - Form 4/A

Common Stock	1,689,236	I	By RH Ashley Investments LLC
Common Stock	25,668 ⁽⁶⁾	I	By wife
Common Stock	15,782 ⁽⁷⁾	I	By IRA
Common Stock	7,917.089 ⁽⁸⁾	I	By wife IRA
Common Stock	1,088	I	Custodian for children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price or Value of Derivative Security (Instr. 3)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Stock	\$ 17.12					(9)	04/15/2025	Common Stock	12,000	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ashley Richard H P.O. BOX 966 CONWAY, AR 72033	X			

Signatures

/s/ Richard H. Ashley by Micah
Osborne

02/08/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted Stock granted on January 18, 2019 will vest in 33 1/3% installments over three years beginning on the first anniversary of the award date.
- (2) The reporting person had 2500 shares vest since the last filing.
- (3) Restricted Stock granted on January 19, 2018 will vest in 33 1/3% installments over three years beginning on the first anniversary of the award date.
- (4) Restricted Stock granted on January 20, 2017 will "cliff" vest 100% three years from award date.

(5) This amendment is being filed solely to reflect that the reporting person has elected to report his beneficial ownership of Home BancShares, Inc. stock held by Conservative Development Company and Square Associates LLC based upon the reporting person's pro rata economic interest in each entity. The reporting person's previous Section 16 filings reported the aggregate number of shares held by each such entity.

(6) Reflects a correction to the number of shares beneficially owned, which were incorrectly reported in the reporting person's previously filed Forms 4.

(7) Includes 322 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

(8) Includes 163.420 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

(9) The option is exercisable in five equal annual installments. The first installment became exercisable on April 16, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.