Edgar Filing: UBS Group AG - Form 4

LIBS Group AG

Form 4											
January 07, FORN Check th if no lor subject to Section Form 4 Form 5 obligation may corr See Inst 1(b).	Wa F CHAN Section T Public U	RITIES A ashington NGES IN SECUI 16(a) of th Jtility Ho nvestmen	Simple3235-028Number:January 3Expires:200Estimated averageburden hours perresponse0								
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> UBS Group AG								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) BAHNHOI CH-8098	(First) (FSTRASSE 45, P	Middle) O BOX		of Earliest T Day/Year) 2019	Fransaction			Director Officer (give below)	$\begin{array}{c} \underline{X} 10^{\circ} \\ \underline{X} 0^{\circ} \\ below \end{array}$	% Owner ter (specify	
(Street) ZURICH, V8			Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative S	Securit	ties Acaı	Person uired, Disposed of	. or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	curity(Month/Day/Year)Execution Daastr. 3)any		ed 3. 4. Securities Acquired (A Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) y/Year) (Instr. 8) (A) or				uired (A)	Securities Beneficially Owned Following Reported	6. 7 Ownership I Form: F Direct (D) 0	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/03/2019			Code V P	Amount 113,549	(D) A	Price \$ 12.69	228,330	I	By subsidiary (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: UBS Group AG - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
UBS Group AG BAHNHOFSTRASSE 45 PO BOX CH-8098 ZURICH, V8	Х						
Signatures							
/s/ Jennifer Sator, UBS Group AG	01/07/2018						
<u>**</u> Signature of Reporting Person	Date						
/s/ Stevenson Giles, UBS Group AG	01/07/2018						
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Statement is filed jointly by UBS Group AG for the benefit and on behalf of UBS AG London Branch, a wholly owned subsidiary of UBS Group.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.