THOMPSON JANE J.

Form 4

August 22, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

Expires:

January 31, 2005

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* THOMPSON JANE J.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

VERIFONE SYSTEMS, INC. [PAY]

(Check all applicable)

C/O VERIFONE SYSTEMS.

(First)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 08/20/2018

X\_ Director 10% Owner Officer (give title Other (specify

INC.. 88 WEST PLUMERIA **DRIVE** 

> (Street) 4. If Amendment, Date Original

> > (Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SAN JOSE, CA 95134

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Ownership Owned Indirect (I) **Following** (Instr. 4) (Instr. 4)

Reported Transaction(s)

or (Instr. 3 and 4) Price Code V Amount (D)

(A)

Common

per share

Stock, par 08/20/2018 value \$0.01

D 4,500

0 D (1)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu (A) o Disp (D)	urities uired or oosed of rr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (2)	\$ 0	08/20/2018		D		9,650	08/20/2018(3)	(3)	Common Stock, par value \$0.01 per share	9,650
Restricted Stock Units (2)	\$ 0	08/20/2018		D		8,484	04/03/2018	(3)	Common Stock, par value \$0.01 per share	8,484
Restricted Stock Units (2)	\$ 0	08/20/2018		D		6,556	04/01/2017	(3)	Common Stock, par value \$0.01 per share	6,556

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
·Programme	Director	10% Owner	Officer	Other			
THOMPSON JANE J. C/O VERIFONE SYSTEMS, INC. 88 WEST PLUMERIA DRIVE SAN JOSE, CA 95134	X						

# **Signatures**

/s/ Jane Thompson, by Vik Varma, her
Attorney-in-Fact

08/21/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

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### **Explanation of Responses:**

a currently valid OMB number.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- At the effective time of the merger contemplated by the Agreement and Plan of Merger, dated as of April 9, 2018, by and among VeriFone Systems, Inc. (the "Company"), Vertex Holdco LLC, and Vertex Merger Sub LLC (the "Effective Time"), each issued and
- outstanding share of common stock of the Company (each, a "Share") held by Ms. Thompson was converted into the right to receive \$23.04 in cash, without interest.
- (2) Represents deferred stock units of the Company (a "Company DSU") under the Company's Director Deferred Compensation Plan.
- At the Effective Time, each outstanding Company DSU was converted into the right to receive (without interest), no later than three (3) business days after the Effective Time, an amount in cash equal to the product obtained by multiplying (i) the total number of Shares

subject to such Company DSU immediately prior to the Effective Time by (ii) \$23.04.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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